

Fixed subgroups in low-dimensional manifold groups

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Hanna Neumann Conjecture

For a **f.g.** (finitely generated) group G , let $\text{rk}(G)$ denote the rank (i.e., the minimal number of generators) of G . There are lots of research on the intersection of subgroups in the literature.

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For any **free group**, Mineyev and Friedman proved the following theorem conjectured by [\[Neumann, 1957\]](#) independently. Dicks gave two versions of simplified proofs. A. Jaikin gave another new proof recently.

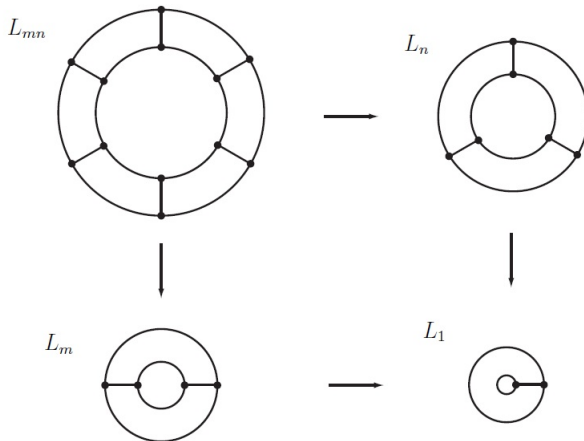
Let $\overline{\text{rk}} := \max\{0, \text{rk}(G) - 1\}$.

Theorem (Mineyev, Friedman, 2011)

Let F_n be a f.g. **free group**, and H, K any two f.g. subgroups of F_n . Then

$$\overline{\text{rk}}(H \cap K) \leq \overline{\text{rk}}(H) \cdot \overline{\text{rk}}(K).$$

H. N. Conjecture: a special case



m and n are relatively prime

Intersection of subgroups: surface groups

Let G be a **surface group**, namely, $G \cong \pi_1(S)$ for a closed (possibly non-orientable) surface S with $\chi(S) < 0$.

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Theorem (Soma, 1991)

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$$\overline{\text{rk}}(H \cap K) \leq 1161 \cdot \overline{\text{rk}}(H) \cdot \overline{\text{rk}}(K).$$

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Question 1

For any subgroups H, K of a **surface group**, does

$$\overline{\text{rk}}(H \cap K) \leq \overline{\text{rk}}(H) \cdot \overline{\text{rk}}(K) ?$$

Mineyev claimed that the answer of the question above is affirmative.

Intersection of subgroups: one-relator groups

Let $G = \langle X | r \rangle$ be a **one-relator group** where r is a cyclically reduced word in the free group on the generating set X .

A subset $Y \subset X$ is called a *Magnus subset* if Y omits a generator which appears in the relator r . A subgroup H of G is called a *Magnus subgroup* if $H = \langle Y \rangle$ for some Magnus subset Y of X , and hence by the Magnus Freiheitssatz, H is free of rank $|Y|$.

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Theorem (Collins, 2004)

The intersection $\langle Y \rangle \cap \langle Z \rangle$ of two Magnus subgroups of the one-relator group G is either $\langle Y \cap Z \rangle$ or the free product of $\langle Y \cap Z \rangle$ with an infinite cyclic group and thus of rank $|Y \cap Z| + 1$.

Fixed subgroups: definitions

For any group G , denote the set of endomorphisms of G by $\text{End}(G)$.

Definition

For an endomorphism $\phi \in \text{End}(G)$, the **fixed subgroup** of ϕ is

$$\text{Fix}\phi := \{g \in G \mid \phi(g) = g\}.$$

For a family \mathcal{B} of endomorphisms of G (i.e., $\mathcal{B} \subseteq \text{End}(G)$), the **fixed subgroup** of \mathcal{B} is

$$\text{Fix}\mathcal{B} := \{g \in G \mid \phi(g) = g, \forall \phi \in \mathcal{B}\} = \bigcap_{\phi \in \mathcal{B}} \text{Fix}\phi.$$

Theorem (Dyer-Scott, 1975)

Let $\phi \in \text{Aut}(F_n)$ be an automorphism with **finite order** of F_n . Then

$$\text{rkFix}\phi \leq \text{rk}F_n.$$

Fixed subgroups: free groups

Theorem (Dyer-Scott, 1975)

Let $\phi \in \text{Aut}(F_n)$ be an automorphism with **finite order** of F_n . Then

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Theorem (Bestvina-Handel, 1992)

Let ϕ be an **automorphism** of F_n . Then $\text{rkFix}\phi \leq \text{rk}F_n$.

Other alternative proofs (Sela, Paulin, Gaboriau-Jaeger-Levitt-Lustig,...)

Theorem (Dicks-Ventura, 1996)

Let \mathcal{B} be a family of **injective** endomorphisms of F_n , then

$$\mathrm{rk}\mathrm{Fix}\mathcal{B} \leq \mathrm{rk}F_n.$$

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Let \mathcal{B} be a family of **injective** endomorphisms of F_n , then

$$\mathrm{rk}\mathrm{Fix}\mathcal{B} \leq \mathrm{rk}F_n.$$

They also showed that $\mathrm{Fix}\mathcal{B}$ is **inert** in F_n .

Definition

A subgroup A is **inert** in G if for every subgroup $B \leq G$,

$$\mathrm{rk}(A \cap B) \leq \mathrm{rk}B.$$

Fixed subgroups & fixed points on graphs & surfaces

Let $\text{chr}(\mathbf{F}) := 1 - \text{rkFix}(f_{\pi, \mathbf{F}})$ (or $2 - \text{rkFix}(f_{\pi, \mathbf{F}})$ for some cases).

Theorem (Jiang-Wang-Z., 2011)

*Suppose X is either a connected finite graph or a connected compact hyperbolic surface, and $f : X \rightarrow X$ is a **selfmap**. Then*

- ① $\text{ind}(\mathbf{F}) \leq \text{chr}(\mathbf{F})$ for every fixed point class \mathbf{F} of f ;
- ② when X is not a tree,

$$\sum_{\text{ind}(\mathbf{F}) + \text{chr}(\mathbf{F}) < 0} \{\text{ind}(\mathbf{F}) + \text{chr}(\mathbf{F})\} \geq 2\chi(X).$$

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Corollary

Bestvina-Handel results for free groups (Scott Conjecture).

Fixed subgroups: surface groups

Let G be a f.g. **surface group**.

Theorem (Jiang-Wang-Z., 2011)

Let ϕ be an **endomorphism** of G . Then

- ① $\text{rkFix}\phi \leq \text{rk}G$, with equality if and only if $\phi = \text{id}$;
- ② $\text{rkFix}\phi \leq \frac{1}{2}\text{rk}G$ if ϕ is not epimorphic.

[Nielsen,1929]: For any closed **orientable** surface S and **automorphism** ϕ of $\pi_1(S)$, $\text{rkFix}\phi \leq \text{rk}G$.

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- ② $\text{rkFix}\mathcal{B} \leq \frac{1}{2}\text{rk}G$, if \mathcal{B} contains a non-epimorphic endomorphism

Geometric subgroups of surface groups

A connected subsurface F of a connected surface S is called **incompressible** if the natural homomorphism $\pi_1(F) \rightarrow \pi_1(S)$ induced by the inclusion $F \hookrightarrow S$ is injective. We can think of $\pi_1(F)$ as a subgroup of $\pi_1(S)$. Subgroups which arise in this way are called **geometric**.

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Theorem (Nielsen, Jaco-Shalen)

*The fixed subgroup of an **automorphism** of a surface group is either cyclic or geometric.*

Geometric subgroups: inertia

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For geometric subgroups of a surface group, we prove that

Theorem* (Wu-Z., 2014)

If A is a geometric subgroup of a surface group G , then A is inert in G , i.e., for any subgroup B of G , we have $\text{rk}(A \cap B) \leq \text{rk} B$.

Corollary (Wu-Z., 2014)

The fixed subgroup of any family of epimorphisms of a surface group G is inert in G .

Sketch of the proof of Theorem*

Using covering theory:

- A is a geometric subgroup of $G \iff \exists$ incomp. subsurface F of a closed surface S , s.t. $A = \pi_1(F, *) \leq \pi_1(S, *) = G$.

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- Consider the commutative diagram

$$\begin{array}{ccc} F_0 \subset \tilde{F} & \xrightarrow{i'} & K \\ p \downarrow & & \downarrow k \\ F & \xrightarrow{i} & S \end{array}$$

where $p : \tilde{F} \rightarrow F$ is the **pull back** map of k via i , and F_0 is the component of \tilde{F} containing the base point.

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- $i_* p_*(\pi_1(F_0)) = A \cap B \implies \text{rk}(A \cap B) = \text{rk} \pi_1(F_0) \leq \text{rk} B$.

Equalizers and Retracts: definitions

- Suppose G and H are two groups, $\phi : G \rightarrow H$ is an epimorphism. A **section** of ϕ is a homomorphism $\sigma : H \rightarrow G$ such that

$$\phi\sigma = id : H \rightarrow H.$$

For any family \mathcal{B} of sections of ϕ , the **equalizer** of \mathcal{B} is

$$\text{Eq}(\mathcal{B}) := \{h \in H \mid \sigma_1(h) = \sigma_2(h), \forall \sigma_1, \sigma_2 \in \mathcal{B}\} \leq H.$$

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- $id \in \mathcal{B} \implies \text{Eq}(\mathcal{B}) = \text{Fix}(\mathcal{B})$.
- Suppose H is a subgroup of a group G . If there is a homomorphism $\pi : G \rightarrow G$ such that $\pi(G) \leq H$ and

$$\pi|_H = id : H \rightarrow H,$$

we say that H is a **retract** of G . If $H \neq G$, it is called a **proper retract**.

Equalizers and Retracts: results

We have the following relation between equalizers and retracts:

Lemma

Let G, H be two groups, and $\phi : G \rightarrow H$ an epimorphism. If \mathcal{B} is a family of sections of ϕ , then for any section $\sigma \in \mathcal{B}$, $\sigma(H)$ is a retract of G , and

$$\sigma|_{\text{Eq}(\mathcal{B})} : \text{Eq}(\mathcal{B}) \rightarrow \bigcap_{\alpha \in \mathcal{B}} \alpha(H)$$

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For **free groups**, Bergman showed

Proposition (Bergman, 1999)

- ① *Any intersection of retracts of a f.g. free group is also a retract;*
- ② *If $\phi : G \rightarrow H$ is an epimorphism of free groups with H f.g., then the equalizer of any family of sections of ϕ is a free factor in H .*

Retracts on surface groups

For a **surface group** G , we have

Proposition (Wu-Z., 2014)

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- ② *If H_1, H_2 are two proper retracts of G , and $H = \langle H_1, H_2 \rangle \leq G$, the subgroup generated by H_1 and H_2 , then*
 - (1) *If $H < G$, then $H_1 \cap H_2$ is a retract of both H_1 and H_2 , and*

$$\text{rk}(H_1 \cap H_2) \leq \min\{\text{rk}H_1, \text{rk}H_2\}.$$

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(2) *If $H = G$, then $H_1 \cap H_2$ is cyclic (possibly trivial).*

- ③ *If \mathcal{R} is a family retracts of G , then*

$$\text{rk}\left(\bigcap_{H \in \mathcal{R}} H\right) \leq \min\{\text{rk}H \mid H \in \mathcal{R}\} \leq \begin{cases} \text{rk}G, & \mathcal{R} = \{G\} \\ \frac{1}{2}\text{rk}G, & \mathcal{R} \neq \{G\} \end{cases}.$$

Question 2

Is every retract H of a surface/free group G inert in G ? Namely, is

$$\mathrm{rk}(H \cap K) \leq \mathrm{rk}(K)$$

for any subgroup $K \leq G$?

Fixed subgroups & fixed points on Seifert manifolds

M : a comp. orient. **Seifert 3-manifold** with hyperbolic orbifold,

Theorem (Z., 2012)

Suppose $f : M \rightarrow M$ is a homeomorphism. Then

- ① $\text{ind}(\mathbf{F}) \leq \text{chr}(\mathbf{F})$ for every essential fixed point class \mathbf{F} of f ;
- ② $\sum_{\text{ind}(\mathbf{F}) + \text{chr}(\mathbf{F}) < 0} \{\text{ind}(\mathbf{F}) + \text{chr}(\mathbf{F})\} \geq \mathcal{B}$,
where $\mathcal{B} = 4(2 - \text{rk}\pi_1(M))$.

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where $\mathcal{B} = 4(2 - \text{rk}\pi_1(M))$.

Corollary (Z., 2012)

Let $f_\pi : \pi_1(M, x) \rightarrow \pi_1(M, x)$ is the induced automorphism and

$$\text{Fix}(f_\pi) := \{\gamma \in \pi_1(M, x) \mid \gamma = f_\pi(\gamma)\} \subset \pi_1(M, x),$$

where x is in an **essential fixed point class**. Then

$$\text{rkFix}(f_\pi) < 2\text{rk}\pi_1(M).$$

Theorem (Z., 2013)

Suppose $f_\pi : \pi_1(M) \rightarrow \pi_1(M)$ is an automorphism induced by an **orientation-reversing** homeomorphism $f : M \rightarrow M$. Then

$$\mathrm{rkFix}(f_\pi) < 2\mathrm{rk}\pi_1(M).$$

Fixed subgroups: Seifert manifold groups

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Suppose $f_\pi : \pi_1(M) \rightarrow \pi_1(M)$ is an automorphism induced by an **orientation-reversing** homeomorphism $f : M \rightarrow M$. Then

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However, an analogue as above does **not hold** for a generic automorphism of Seifert manifold groups.

Example

Let $G = F_2 \times \mathbb{Z} = \langle a, b \rangle \times \langle t \rangle$ and

$$\phi \in \text{Aut}(G) : a \mapsto at, b \mapsto b, t \mapsto t.$$

Then $\text{Fix}\phi = \langle t, a^{-m}ba^m \mid m \in \mathbb{Z} \rangle$.

Theorem (Lin-Wang, 2012)

*Suppose ϕ is an automorphism of $G = \pi_1(M)$, where M is a compact orientable **hyperbolic 3-manifold** with finite volume. Then*

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"<" is sharp. They also proved

Theorem (Lin-Wang, 2012)

There exists a sequence of automorphism $\phi_n : \pi_1(M_n) \rightarrow \pi_1(M_n)$ of closed hyperbolic 3-manifolds M_n such that $\text{Fix}\phi_n$ is the group of a closed surface and

$$\frac{\text{rkFix}\phi_n}{\text{rk}\pi_1(M_n)} > 2 - \varepsilon \quad \text{as } n \rightarrow +\infty$$

for any $\varepsilon > 0$.

Inert, compressed and BH

Definition

A subgroup A is **inert** in G if for every subgroup $B \leq G$,

$$\mathrm{rk}(A \cap B) \leq \mathrm{rk}B.$$

Definition

A subgroup A is **compressed** in G if for every subgroup $A \leq B \leq G$,

$$\mathrm{rk}A \leq \mathrm{rk}B.$$

Definition (For this talk)

A subgroup A is **BH** in G if $\mathrm{rk}A \leq \mathrm{rk}G$.

Remark

$\text{Inert} \implies \text{Compressed} \implies \text{BH}.$

Fixed subgroups of endomorphisms

For any family $B \subseteq \text{End}(G)$,

Theorem (Bergman, 1999)

$\text{Fix} B$ is **BH** in F_n .

Question 3 (Bergman, 1999)

Is $\text{Fix} B$ of F_n **inert**?

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Is $\text{Fix}B$ of F_n **inert**?

Theorem (Martino-Ventura, 2004)

$\text{Fix}B$ is **compressed** in F_n .

Theorem (Z.-Ventura-Wu, 2015)

$\text{Fix}B$ is **compressed** in any **surface group**.

Fixed subgroups in product groups: most are BH

Let $G = G_1 \times G_2 \times \cdots \times G_n$, each G_i is a f.g. free group or $\pi_1(S)$ for a closed surface S (maybe $\mathbb{R}P^2$, $2\mathbb{R}P^2$ or a torus). We call it a **product group**.

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Theorem A (Z.-Ventura-Wu, 2015)

$\text{rkFix}\phi \leq \text{rk}G$ for every $\phi \in \text{Aut}(G)$

\iff All G_i are of the same type (Euclidean or hyperbolic).

Euclidean type: \mathbb{Z} , $\pi_1(S)$ for $\chi(S) \geq 0$.

Hyperbolic type: F_n ($n > 1$), $\pi_1(S)$ for $\chi(S) < 0$.

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Hyperbolic type: F_n ($n > 1$), $\pi_1(S)$ for $\chi(S) < 0$.

Example (NOT satisfying the conditions of Theorem A)

Let $G = F_2 \times \mathbb{Z} = \langle a, b \rangle \times \langle t \rangle$ and

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Then $\text{Fix}\phi = \langle t, a^{-m}ba^m \mid m \in \mathbb{Z} \rangle$.

Fixed subgroups in product groups: few are compressed

Theorem B (Z.-Ventura-Wu, 2015)

Let $G = G_1 \times \cdots \times G_n$ be a product group. If $\text{Fix}\phi$ is **compressed** in G for every $\phi \in \text{Aut}(G)$, then G must be of one of the following forms:

(euc1) $G = \mathbb{Z}^p \times (\mathbb{Z}/2\mathbb{Z})^q$ for some $p, q \geq 0$; or

(euc2) $G = NS_2 \times (\mathbb{Z}/2\mathbb{Z})^q$ for some $q \geq 0$; or

(euc3) $G = NS_2 \times \mathbb{Z}^p \times (\mathbb{Z}/2\mathbb{Z})$ for some $p \geq 1$; or

(euc4) $G = NS_2^\ell \times \mathbb{Z}^p$ for some $\ell \geq 1, p \geq 0$; or

(hyp1) $G = F_r \times NS_3^\ell$ for some $r \geq 2, \ell \geq 0$; or

(hyp2) $G = S_g \times NS_3^\ell$ for some $g \geq 2, \ell \geq 0$; or

(hyp3) $G = NS_k \times NS_3^\ell$ for some $k \geq 3, \ell \geq 0$.

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Let $G = G_1 \times \cdots \times G_n$ be a product group. If $\text{Fix}\phi$ is **compressed** in G for every $\phi \in \text{Aut}(G)$, then G must be of one of the following forms:

(euc1) $G = \mathbb{Z}^p \times (\mathbb{Z}/2\mathbb{Z})^q$ for some $p, q \geq 0$; or

(euc2) $G = NS_2 \times (\mathbb{Z}/2\mathbb{Z})^q$ for some $q \geq 0$; or

(euc3) $G = NS_2 \times \mathbb{Z}^p \times (\mathbb{Z}/2\mathbb{Z})$ for some $p \geq 1$; or

(euc4) $G = NS_2^\ell \times \mathbb{Z}^p$ for some $\ell \geq 1, p \geq 0$; or

(hyp1) $G = F_r \times NS_3^\ell$ for some $r \geq 2, \ell \geq 0$; or

(hyp2) $G = S_g \times NS_3^\ell$ for some $g \geq 2, \ell \geq 0$; or

(hyp3) $G = NS_k \times NS_3^\ell$ for some $k \geq 3, \ell \geq 0$.

Question 4

Is the implication in Theorem B an equivalence?

Examples: fixed subgroups NOT compressed

Example 1:

Let $G = F_2 \times F_2 = \langle t, u \rangle \times \langle a, b \rangle$, and

$$\phi \in \text{Aut}(G) : t \mapsto t, u \mapsto tu, a \mapsto a, b \mapsto ab.$$

Then

$$\text{Fix}\phi = \langle t, u^{-1}tu, a, b^{-1}ab \rangle \leqslant \langle t, bu, a \rangle.$$

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Example 2:

Let $G = F_2 \times NS_4 = \langle t, u \rangle \times \langle a, b, c, d \mid aba^{-1}bcdbc^{-1}d \rangle$, and

$$\phi \in \text{Aut}(G) : t \mapsto t, u \mapsto tu, a \mapsto ab, b \mapsto b, c \mapsto cd, d \mapsto d.$$

Then

$$\text{Fix}\phi = \langle t, u^{-1}tu, b, aba^{-1}, d, cdc^{-1} \rangle = \langle t, u^{-1}tu \rangle \times \langle b, aba^{-1}, d \rangle \cong F_5$$

but

$$\text{Fix}\phi \leqslant \langle t, au, b, d \rangle \cong F_4.$$

Examples: fixed subgroups NOT compressed

Example 3:

Let $G = NS_2 \times NS_2 \times \mathbb{Z}_2 = \langle a, b | aba^{-1}b \rangle \times \langle c, d | cdc^{-1}d \rangle \times \langle e | e^2 \rangle$,

$$\phi \in \text{Aut}(G) : a \mapsto a, b \mapsto be, c \mapsto cd, d \mapsto d, e \mapsto e.$$

Then

$$\text{Fix}\phi = \langle a, b^2, c^2, d, e \rangle \leq \langle a, bc, d, e \rangle$$

since $c^2 = a \cdot bc \cdot a^{-1} \cdot bc$ and $b^2 = bc \cdot bc \cdot c^{-2}$.

Examples: fixed subgroups NOT inert

Example 4:

Let $G = F_2 \times NS_3 = \langle t, u \rangle \times \langle a, b, c | aba^{-1}bc^2 \rangle$, and

$$\phi \in \text{Aut}(G) : t \mapsto t, u \mapsto u, a \mapsto ab, b \mapsto b, c \mapsto c.$$

Then

$$\text{Fix}\phi = \langle t, u, aba^{-1}, b, c \rangle = \langle t, u \rangle \times \langle b, c \rangle.$$

Let $K = \langle at, u \rangle$. Then

$$\text{Fix}\phi \cap K = \langle t^{-m}ut^m | m \in \mathbb{Z} \rangle$$

is infinite generated.

Theorem C (Z.-Ventura-Wu, 2015)

Let $G = G_1 \times \cdots \times G_n$ be a product group. If $\text{Fix}\phi$ is **inert** in G for every $\phi \in \text{Aut}(G)$, then G is of one of the forms (euc1), or (euc2), or (euc3), or (euc4), or

- $G = F_r$ for some $r \geq 2$; or
- $G = \pi_1(S)$ for some closed surface $\chi(S) < 0$

Inertia Conjecture (Z.-Ventura-Wu, 2015)

Let $G = G_1 \times \cdots \times G_n$ be a product group. Then, the following are equivalent:

- 1 Every $\phi \in \text{End}(G)$ satisfies that $\text{Fix}\phi$ is inert in G ,
- 2 Every $\phi \in \text{Aut}(G)$ satisfies that $\text{Fix}\phi$ is inert in G ,
- 3 G is of the form (euc1), or (euc2), or (euc3), or (euc4), or a free group F_n for $n \geq 2$, or a surface group $\pi_1(S)$ for $\chi(S) < 0$.

Inertia Conjecture (Z.-Ventura-Wu, 2015)

Let $G = G_1 \times \cdots \times G_n$ be a product group. Then, the following are equivalent:

- ① Every $\phi \in \text{End}(G)$ satisfies that $\text{Fix}\phi$ is inert in G ,
- ② Every $\phi \in \text{Aut}(G)$ satisfies that $\text{Fix}\phi$ is inert in G ,
- ③ G is of the form (euc1), or (euc2), or (euc3), or (euc4), or a free group F_n for $n \geq 2$, or a surface group $\pi_1(S)$ for $\chi(S) < 0$.

Remark

$$\begin{aligned} (1) &\xrightarrow{\text{trivial}} (2) \xrightarrow{\text{Theorem } C} (3) \xrightarrow{???} (1) \\ \{(3) - (\text{euc4})\} &\xrightarrow[\text{Wu-Z., 2014}]{\text{Dicks-Ventura, 1996}} (2) \end{aligned}$$

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谢 谢！