Fixed subgroups in direct products of free and surface groups

Zhang, Qiang 张 强 (joint with E. Ventura and Jianchun Wu)

Xi'an Jiaotong University 西安交通大学

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- Intersection of subgroups in free/surface groups
- Pixed subgroups in free groups
- Fixed subgroups in surface groups
- Geometric subgroups & retracts in surface groups
- Fixed subgroups in 3-manifold groups
- Fixed subgroups in product groups

For a **f.g.** (finitely generated) group G, let rk(G) denote the rank (i.e., the minimal number of generators) of G. There are lots of research on the intersection of subgroups in the literature.

For any **free group**, Mineyev and Friedman proved the following theorem conjectured by [Neumann, 1957] independently. Dicks gave two versions of simplified proofs. A. Jaikin gave another new proof recently.

Let $\overline{\mathrm{rk}} := \max\{0, \mathrm{rk}(G) - 1\}.$

Theorem (Mineyev, Friedman, 2011)

Let F_n be a f.g. free group, and H, K any two f.g. subgroups of F_n . Then

 $\overline{\operatorname{rk}}(H \cap K) \leq \overline{\operatorname{rk}}(H) \cdot \overline{\operatorname{rk}}(K).$

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Intersection of subgroups: surface groups

Let G be a surface group, namely, $G \cong \pi_1(S)$ for a closed (possibly non-orientable) surface S with $\chi(S) < 0$.

Theorem (Soma, 1991)

Let G be a f.g. surface group, and H, K any two f.g. subgroups of G. Then

 $\overline{\mathrm{rk}}(H \cap K) \leq 1161 \cdot \overline{\mathrm{rk}}(H) \cdot \overline{\mathrm{rk}}(K).$

Question 1

For any subgroups H, K of a surface group, does

 $\overline{\operatorname{rk}}(H \cap K) \leq \overline{\operatorname{rk}}(H) \cdot \overline{\operatorname{rk}}(K)$?

Mineyev claimed that the answer of the question above is affirmative.

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For any group G, denote the set of endomorphisms of G by End(G).

Definition

For an endomorphism $\phi \in \operatorname{End}(G)$, the fixed subgroup of ϕ is

$$\operatorname{Fix} \phi := \{ g \in G | \phi(g) = g \}.$$

For a family \mathcal{B} of endomorphisms of G (i.e., $\mathcal{B} \subseteq \text{End}(G)$), the fixed subgroup of \mathcal{B} is

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Theorem (Dyer-Scott, 1975)

Let $\phi \in Aut(F_n)$ be an automorphism with finite order of F_n . Then

 $\mathrm{rkFix}\phi \leq \mathrm{rk}F_n.$

Theorem (Bestvina-Handel, 1992)

Let ϕ be an **automorphism** of F_n . Then $\operatorname{rkFix}\phi \leq \operatorname{rk}F_n$.

Other alternative proofs (Sela, Paulin, Gaboriau-Jaeger-Levitt-Lustig,...)

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Let \mathcal{B} be a family of **injective** endomorphisms of F_n , then

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They also showed that $Fix\mathcal{B}$ is **inert** in F_n .

Definition

A subgroup A is inert in G if for every subgroup $B \leqslant G$,

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Fixed subgroups & fixed points on graphs & surfaces

Let $chr(\mathbf{F}) := 1 - rkFix(f_{\pi,\mathbf{F}})$ (or $2 - rkFix(f_{\pi,\mathbf{F}})$ for some cases).

Theorem (Jiang-Wang-Z., 2011)

Suppose X is either a connected finite graph or a connected compact hyperbolic surface, and $f : X \to X$ is a **selfmap**. Then

- $\operatorname{ind}(\mathbf{F}) \leq \operatorname{chr}(\mathbf{F})$ for every fixed point class \mathbf{F} of f;
- when X is not a tree,

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$$\sum_{\mathrm{nd}(\mathbf{F})+\mathrm{chr}(\mathbf{F})<0} \{\mathrm{ind}(\mathbf{F})+\mathrm{chr}(\mathbf{F})\} \geq 2\chi(X).$$

Corollary

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Let ϕ be an endomorphism of G. Then

- $\operatorname{rkFix}\phi \leq \operatorname{rk}G$, with equality if and only if $\phi = \operatorname{id}$;
- 2 rkFix $\phi \leq \frac{1}{2}$ rk*G* if ϕ is not epimorphic.

[Nielsen,1929]: For any closed orientable surface S and automorphism ϕ of $\pi_1(S)$, $\operatorname{rkFix}\phi \leq \operatorname{rk} G$.

Theorem (Wu-Z.,2014)

Let \mathcal{B} be a family of endomorphisms of G. Then

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- 2 $\operatorname{rkFix}\mathcal{B} \leq \frac{1}{2}\operatorname{rk}\mathcal{G}$, if \mathcal{B} contains a non-epimorphic endomorphism

A connected subsurface F of a connected surface S is called incompressible if the natural homomorphism $\pi_1(F) \to \pi_1(S)$ induced by the inclusion $F \hookrightarrow S$ is injective. We can think of $\pi_1(F)$ as a subgroup of $\pi_1(S)$. Subgroups which arise in this way are called geometric.

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Theorem (Nielsen, Jaco-Shalen)

The fixed subgroup of an **automorphism** of a surface group is either cyclic or geometric.

For geometric subgroups of a surface group, we prove that

Theorem (Wu-Z., 2014)

Any geometric subgroup A of a surface group G is inert in G, i.e.,

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Equalizers and Retracts: definitions

• Suppose G and H are two groups, $\phi: G \to H$ is an epimorphism. A section of ϕ is a homomorphism $\sigma: H \to G$ such that

$$\phi\sigma = id: H \to H.$$

For any family \mathcal{B} of sections of ϕ , the equalizer of \mathcal{B} is

$$\operatorname{Eq}(\mathcal{B}) := \{h \in H | \sigma_1(h) = \sigma_2(h), \forall \sigma_1, \sigma_2 \in \mathcal{B}\} \le H.$$

- $id \in \mathcal{B} \Longrightarrow Eq(\mathcal{B}) = Fix(\mathcal{B}).$
- Suppose H is a subgroup of a group G. If there is a homomorphism π : G → G such that π(G) ≤ H and

$$\pi|_H = id : H \to H,$$

we say that H is a retract of G. If $H \neq G$, it is called a proper retract.

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Equalizers and Retracts: results

We have the following relation between equalizers and retracts:

Lemma

Let G, H be two groups, and $\phi : G \to H$ an epimorphism. If B is a family of sections of ϕ , then for any section $\sigma \in \mathcal{B}$, $\sigma(H)$ is a retract of G, and

$$\sigma|_{\mathrm{Eq}(\mathcal{B})}:\mathrm{Eq}(\mathcal{B})
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is an isomorphism.

For free groups, Bergman showed

Proposition (Bergman, 1999)

Intersection of retracts of a f.g. free group is also a retract;

If φ : G → H is an epimorphism of free groups with H f.g., then the equalizer of any family of sections of φ is a free factor in H.

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Retracts on surface groups

For a surface group G, we have

Proposition (Wu-Z., 2014)

- Any proper retract of G is free of rank $\leq \frac{1}{2}$ rkG.
- If H₁, H₂ are two proper retracts of G, and H = ⟨H₁, H₂⟩ ≤ G, the subgroup generated by H₁ and H₂, then
 (1) If H < G, then H₁ ∩ H₂ is a retract of both H₁ and H₂, and

 $\mathrm{rk}(H_1 \cap H_2) \leq \min\{\mathrm{rk}H_1, \mathrm{rk}H_2\}.$

- (2) If H = G, then $H_1 \cap H_2$ is cyclic (possibly trivial).
- \bigcirc If \mathcal{R} is a family retracts of G, then



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If R is a family retracts of G, then

$$\operatorname{rk}(\bigcap_{H\in\mathcal{R}}H) \leq \min\{\operatorname{rk} H | H\in\mathcal{R}\} \leq \begin{cases} \operatorname{rk} G, & \mathcal{R} = \{G\}\\ \frac{1}{2}\operatorname{rk} G, & \mathcal{R} \neq \{G\} \end{cases}$$

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Question 2

Is every retract H of a surface/free group G inert in G? Namely, is

 $\operatorname{rk}(H \cap K) \leq \operatorname{rk}(K)$

for any subgroup $K \leq G$?

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Fixed subgroups & fixed points on Seifert manifolds

M: a comp. orient. Seifert 3-manifold with hyperbolic orbifold,

Theorem (Z., 2012)

Suppose $f : M \rightarrow M$ is a homeomorphism. Then

() $\operatorname{ind}(F) \leq \operatorname{chr}(F)$ for every essential fixed point class F of f;

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$$\sum_{\text{ind}(\mathbf{F})+\text{chr}(\mathbf{F})<0} \{ \text{ind}(\mathbf{F}) + \text{chr}(\mathbf{F}) \} ≥ \mathcal{B},$$

where $\mathcal{B} = 4(2 - \text{rk}\pi_1(M)).$

Corollary (Z., 2012)

Let $f_{\pi} : \pi_1(M, x) \to \pi_1(M, x)$ be the induced automorphism and

 $\operatorname{Fix}(f_{\pi}) := \{ \gamma \in \pi_1(M, x) | \gamma = f_{\pi}(\gamma) \} \subset \pi_1(M, x),$

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Theorem (Z., 2013)

Suppose M is a compact orientable Seifert 3-manifold, and f_{π} : $\pi_1(M) \to \pi_1(M)$ is an automorphism induced by an orientation-reversing homeomorphism $f: M \to M$. Then

 $\mathrm{rkFix}(f_{\pi}) < 2\mathrm{rk}\pi_1(M).$

Remark. Analogue as above does NOT hold for orient.-preserving automorphism of Seifert manifold groups.

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Inert, compressed and bounded

Definition

For a subgroup $A \leq G$,

• A is called inert in G, if for every subgroup $B \leq G$,

 $\operatorname{rk}(A \cap B) \leq \operatorname{rk} B.$

• A is called compressed in G, if for every subgroup $A \leq B \leq G$,

 $\mathrm{rk}A \leq \mathrm{rk}B.$

• A is called c-bounded in G, if

 $\mathrm{rk}A \leq c \cdot \mathrm{rk}G.$

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Fixed subgroups of endomorphisms

For any family $B \subseteq \operatorname{End}(G)$,

Theorem (Bergman, 1999)

Fix \mathcal{B} is bounded in F_n .

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Is Fix \mathcal{B} inert in F_n ?

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Fixed subgroups in product groups: most are bounded

Let $G = G_1 \times G_2 \times \cdots \times G_n$, each G_i is a f.g. free group or $\pi_1(S)$ for a closed surface S (maybe $\mathbb{R}P^2, 2\mathbb{R}P^2$ or a torus). We call it a product group.

Theorem A (Z.-Ventura-Wu, 2015)

 $\operatorname{rkFix}\phi \leq \operatorname{rk}G$ for every $\phi \in \operatorname{Aut}(G)$ \iff All G_i are of the same type (Euclidean or hyperbolic)

Euclidean type: \mathbb{Z} , $\pi_1(S)$ for $\chi(S) \ge 0$. Hyperbolic type: F_n (n > 1), $\pi_1(S)$ for $\chi(S) < 0$.

Example (NOT satisfying the conditions of Theorem A)

Let $G = F_2 \times \mathbb{Z} = \langle a, b \rangle \times \langle t \rangle$ and

 $\phi \in \operatorname{Aut}(G) : a \mapsto at, b \mapsto b, t \mapsto t.$

Then $\operatorname{Fix}\phi = \langle t, a^{-m}ba^m | m \in \mathbb{Z} \rangle$

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Theorem B (Z.-Ventura-Wu, 2015)

Let $G = G_1 \times \cdots \times G_n$ be a product group. If $Fix\phi$ is **compressed** in *G* for every $\phi \in Aut(G)$, then *G* must be of one of the following forms:

(*euc1*)
$$G = \mathbb{Z}^p \times (\mathbb{Z}/2\mathbb{Z})^q$$
 for some $p, q \ge 0$; or
(*euc2*) $G = NS_2 \times (\mathbb{Z}/2\mathbb{Z})^q$ for some $q \ge 0$; or
(*euc3*) $G = NS_2 \times \mathbb{Z}^p \times (\mathbb{Z}/2\mathbb{Z})$ for some $p \ge 1$; or
(*euc4*) $G = NS_2^{\ell} \times \mathbb{Z}^p$ for some $\ell \ge 1$, $p \ge 0$; or
(*hyp1*) $G = F_r \times NS_3^{\ell}$ for some $r \ge 2$, $\ell \ge 0$; or
(*hyp2*) $G = S_g \times NS_3^{\ell}$ for some $g \ge 2$, $\ell \ge 0$; or
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Question (Z.-Ventura-Wu, 2015)

Is the implication in Theorem B an equivalence?

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Proposition

If G is of form (euc3), i.e. $G = NS_2 \times \mathbb{Z}^p \times (\mathbb{Z}/2\mathbb{Z})$ for $p \ge 1$, then $\exists \phi \in Aut(G)$, s.t. Fix ϕ is NOT compressed, hence NOT inert.

Proof: Let $G = \langle a, b | bab^{-1}a \rangle \times \prod_{i=1}^{p} \langle c_i \rangle \times \langle d | d^2 \rangle$ and $\phi \in Aut(G)$:

 $a \mapsto ad, \ b \mapsto ba, \ c_1 \mapsto c_1d, \ c_i \mapsto c_i^{-1}, (i = 2, ..., p), \ d \mapsto d.$

 \Longrightarrow Fix $\phi = \langle a^2, b^2, ac_1, d \rangle \cong \mathbb{Z}^3 \times (\mathbb{Z}/2\mathbb{Z})$, while Fix $\phi \leqslant \langle ac_1, b, d \rangle$.

Theorem C

Let G be a product group of **Euclidean** type. Then, Fix ϕ is **compressed** in G for every $\phi \in \text{End}(G) \iff \text{Fix}\phi$ is **compressed** in G for every $\phi \in \text{Aut}(G) \iff G$ is of one of the following forms: (*euc1*) $G = \mathbb{Z}^p \times (\mathbb{Z}/2\mathbb{Z})^q$ for some $p, q \ge 0$; or (*euc2*) $G = NS_2 \times (\mathbb{Z}/2\mathbb{Z})^q$ for some $q \ge 0$; or (*euc4*) $G = NS_2^\ell \times \mathbb{Z}^p$ for some $\ell \ge 1, p \ge 0$.

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Proposition (5 factors)

If $G = G_1 \times \cdots \times G_5$, each G_i is $F_r(r \ge 2)$, $S_g(g \ge 2)$ or $NS_k(k \ge 3)$, then $\exists \phi \in Aut(G) \text{ s.t. Fix}\phi$ is NOT compressed in G.

Proof: Let
$$1 \neq h_i = [s_i, t_i] = s_i t_i s_i^{-1} t_i^{-1} \in G_i$$
, and
 $\phi_i \in \operatorname{Aut}(G_i) : g \mapsto h_i g h_i^{-1}$.

Then Fix $\phi_i = \langle h_i \rangle$. Let $\phi = \phi_1 \times \cdots \times \phi_5 \in \operatorname{Aut}(G)$. Then Fix $\phi = \langle s_1 t_1 s_1^{-1} t_1^{-1} \rangle \times \cdots \times \langle s_5 t_5 s_5^{-1} t_5^{-1} \rangle \cong \mathbb{Z}^5$

while

$$\operatorname{Fix}\phi \leqslant \langle s_1 s_2 s_4, t_1 t_3 t_5, t_2 s_3, s_5 t_4 \rangle,$$

because

$$[s_1s_2s_4, t_1t_3t_5] = [s_1, t_1], \quad [s_1s_2s_4, t_2s_3] = [s_2, t_2],$$
$$[t_2s_3, t_1t_3t_5] = [s_3, t_3], \quad [s_1s_2s_4, s_5t_4] = [s_4, t_4], \quad [s_5t_4, t_1t_3t_5] = [s_5, t_5].$$

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Proposition (4 factors)

Let $G = G_0 \times NS_3^{\ell}(\ell \ge 3)$, G_0 is $F_r(r \ge 2)$, $S_g(g \ge 2)$ or $NS_k(k \ge 4)$. Then $\exists \phi \in Aut(G) \text{ s.t. Fix}\phi$ is NOT compressed in G.

Proof: For i = 1, 2, 3, $\exists \phi_i \in \operatorname{Aut}(G_i)$, s.t. $\operatorname{Fix} \phi_i = \langle s_i t_i s_i^{-1} t_i^{-1} \rangle$.

- $G_0 = F_r = \langle a_1, \ldots, a_r \rangle, \ \phi_0 \in \operatorname{Aut}(G_0) : a_1 \mapsto a_1 a_2, \ a_i \mapsto a_i, \ i \ge 2, \ \operatorname{Fix}\phi_0 = \langle a_2, a_1 a_2 a_1^{-1}, a_3, \ldots, a_r \rangle \cong F_r.$ We have $\operatorname{Fix}\phi_0 \times \cdots \times \operatorname{Fix}\phi_3 \leqslant H = \langle a_2 a_1 s_1 s_2, s_3 t_3 t_1 t_2, s_3 s_1 t_2, a_1 t_3 t_1 s_2, a_3, \ldots$
- $G_0 = S_g$ or NS_k , we can construct an analog $\phi_0 \in Aut(G)$.

Let $\phi = \phi_0 \times \phi_1 \times \phi_2 \times \phi_3 \times Id \times \cdots \times Id \in Aut(G)$. Then

 $\operatorname{Fix} \phi = \operatorname{Fix} \phi_0 \times \cdots \times \operatorname{Fix} \phi_3 \times G_4 \times \cdots \times G_\ell \leqslant H \times G_4 \times \cdots \times G_\ell.$

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Proof: For i = 1, 2, 3, $\exists \phi_i \in \operatorname{Aut}(G_i)$, s.t. $\operatorname{Fix} \phi_i = \langle s_i t_i s_i^{-1} t_i^{-1} \rangle$.

•
$$G_0 = F_r = \langle a_1, \ldots, a_r \rangle$$
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 $\operatorname{Fix} \phi = \operatorname{Fix} \phi_0 \times \cdots \times \operatorname{Fix} \phi_3 \times G_4 \times \cdots \times G_\ell \leqslant H \times G_4 \times \cdots \times G_\ell.$

But $\operatorname{rkFix}\phi > \operatorname{rk}(H \times G_4 \times \cdots \times G_\ell).$

Fixed subgroups in product groups: less are inert

Proposition

Let $G = NS_2^{\ell} \times \mathbb{Z}^p$ for some $\ell \ge 1$, $p \ge 0$. Then $\exists \phi \in Aut(G)$, s.t Fix ϕ NOT inert in G.

Proof. For simple, let $G = \langle a, b | bab^{-1}a \rangle \times \langle c \rangle$ and $\phi \in Aut(G)$: $a \mapsto a, b \mapsto ba, c \mapsto c. \Longrightarrow Fix\phi = \langle a, b^2, c \rangle \cong \mathbb{Z}^3$, while

$$\operatorname{Fix}\phi \cap \langle ac, b \rangle = \langle ac, a^2, b^2 \rangle \cong \mathbb{Z}^3.$$

Theorem C

Let *G* be a product group of **Euclidean** type. Then, Fix ϕ is inert in *G* for every $\phi \in \text{End}(G) \iff \text{Fix}\phi$ is inert in *G* for every $\phi \in$ Aut(*G*) \iff *G* is one of the following forms: (*euc1*) $G = \mathbb{Z}^p \times (\mathbb{Z}/2\mathbb{Z})^q$ for some $p, q \ge 0$; or (*euc2*) $G = NS_2 \times (\mathbb{Z}/2\mathbb{Z})^q$ for some $q \ge 0$

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$$\operatorname{Fix}\phi \cap \langle \mathsf{ac}, \mathsf{b} \rangle = \langle \mathsf{ac}, \mathsf{a}^2, \mathsf{b}^2 \rangle \cong \mathbb{Z}^3.$$

Theorem C'

Let G be a product group of **Euclidean** type. Then, Fix ϕ is inert in G for every $\phi \in \text{End}(G) \iff \text{Fix}\phi$ is inert in G for every $\phi \in$ Aut(G) \iff G is one of the following forms: (euc1) $G = \mathbb{Z}^p \times (\mathbb{Z}/2\mathbb{Z})^q$ for some $p, q \ge 0$; or (euc2) $G = NS_2 \times (\mathbb{Z}/2\mathbb{Z})^q$ for some $q \ge 0$.

Theorem D

Let $G = G_1 \times \cdots \times G_n$ be a product group. Then, Fix ϕ is **inert** in G for every $\phi \in \operatorname{Aut}(G) \iff G$ is one of the following forms: (*euc1*) $G = \mathbb{Z}^p \times (\mathbb{Z}/2\mathbb{Z})^q$ for some $p, q \ge 0$; or (*euc2*) $G = NS_2 \times (\mathbb{Z}/2\mathbb{Z})^q$ for some $q \ge 0$; or (*hyp1'*) $G = F_n$ for some $n \ge 2$; or (*hyp2'*) $G = \pi_1(S)$ for some closed surface $\chi(S) < 0$.

Inertia Conjecture

Let G be a product group. Then, the following are equivalent:

- ① Every $\phi \in \operatorname{End}({\sf G})$ satisfies that $\operatorname{Fix} \phi$ is inert in ${\sf G}$,
- ② Every $\phi \in Aut(G)$ satisfies that $Fix\phi$ is inert in G,
- G is one of the forms (euc1),(euc2), (hyp1') or (hyp2').

Remark

$$(1) \xrightarrow{\text{trivial}} (2) \xleftarrow{\text{Thm } D} (3) \xrightarrow{\ref{eq:trivial}} (1),$$

 $\{(3) - (hyp1') - (hyp2')\} \xleftarrow{Thm C'} (1).$

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Inertia Conjecture

Let G be a product group. Then, the following are equivalent:

- Every $\phi \in \text{End}(G)$ satisfies that $\text{Fix}\phi$ is inert in G,
- **2** Every $\phi \in Aut(G)$ satisfies that $Fix\phi$ is inert in G,
- G is one of the forms (euc1),(euc2), (hyp1') or (hyp2').

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$$(1) \xrightarrow{\text{trivial}} (2) \xleftarrow{\text{Thm } D} (3) \xrightarrow{???} (1),$$

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Let $G = G_1 \times \cdots \times G_n$ be a product group. Then, Fix ϕ is **inert** in G for every $\phi \in \operatorname{Aut}(G) \iff G$ is one of the following forms: (*euc1*) $G = \mathbb{Z}^p \times (\mathbb{Z}/2\mathbb{Z})^q$ for some $p, q \ge 0$; or (*euc2*) $G = NS_2 \times (\mathbb{Z}/2\mathbb{Z})^q$ for some $q \ge 0$; or (*hyp1*') $G = F_n$ for some $n \ge 2$; or (*hyp2*') $G = \pi_1(S)$ for some closed surface $\chi(S) < 0$.

Inertia Conjecture

Let G be a product group. Then, the following are equivalent:

- Every $\phi \in \text{End}(G)$ satisfies that $\text{Fix}\phi$ is inert in G,
- **2** Every $\phi \in Aut(G)$ satisfies that $Fix\phi$ is inert in G,
- G is one of the forms (euc1),(euc2), (hyp1') or (hyp2').

Remark

$$(1) \xrightarrow{\text{trivial}} (2) \xleftarrow{\text{Thm } D} (3) \xrightarrow{???} (1),$$

$$\{(3) - (hyp1') - (hyp2')\} \xleftarrow{\text{Thm } C'} (1),$$





Qiang Zhang

Fixed subgroups in direct products of free and surface groups