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Sub-100 nm hollow Au–Ag alloy urchin-shaped nanostructure with ultrahigh density of nanotips for photothermal cancer therapy

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ABSTRACT

The 'sea urchin'-like nanostructures with particular small size (<100 nm) and abundant multi-tips have not yet been employed for the cancer photothermal therapy (PTT). Here we report sub-100 nm hollow Au–Ag alloy nanourchins (HAAA-NUs) with ultrahigh density of nanotips synthesized via a facile seed-mediated growth. The HAAA-NUs exhibit a remarkably integrated high-quality photothermal feature including well-defined but tunable surface plasmon resonance peak, strong absorption ($2.2 \times 10^{10} \text{ M}^{-1} \text{ cm}^{-1}$) as well as high photothermal conversion efficiency (80.4%) in the near-infrared region. Importantly, the HAAA-NUs demonstrate improved photothermal stability verified via continuous exposition and cyclic irradiation of laser beam. The cell assay, *in vitro* cell ablation and *in vivo* breast cancer treatment verify that the HAAA-NUs are superior photothermal agent for photothermal tumor ablation therapy owing to low toxicity and high cell destruction capability.

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1. Introduction

Cancers were conventionally cured by surgery, radiation therapy, chemotherapy, hormone therapy, immunotherapy, etc [1]. However, these therapeutic approaches may harm healthy cells, destroy the immune system thus result in second cancers. In recent years, an increased effort has been made in exploitation of nanomaterials for photothermal therapy (PTT), a minimally invasive selective treatment of cancer. PTT, also known as photothermal ablation, is a hyperthermia therapeutic approach that employs photo-absorbing materials to burn cancer cells by heat generated from optical energy. In particular, near-infrared (NIR, $\lambda = 700\text{--}1100 \text{ nm}$) laser-based PTT is preferable since tissue (hemoglobin and water) in this range of wavelengths has the highest transmissivity and the typical penetration depth of the NIR light can reach several centimeters in biological tissues [2]. The optimum intratumorally administrated nanomaterials for PTT should be small enough (<100 nm) to go through the vasculature pores but large enough (>5 nm) to avoid rapid clearance [3]. However, it remains challenges to synthesize nanomaterials with sub-100 nm to meet all the requirements including tunable NIR wavelength, high

optical absorption coefficients, good biocompatibility, nontoxic and have surfaces that can be functionalized with cell recognition moieties [4].

To date, various types of photothermal agents, including organic compounds [5,6], carbon-based materials [7,8], copper chalcogenide semiconductors [9,10] as well as metal nanostructures [11–14], have been investigated. Among these photothermal materials, gold (Au) nanostructures perhaps represent the greatest promising one to overcome the therapeutic challenges of cancer [15], because Au nanoparticles may simultaneously achieve the aforementioned requirements. Moreover, Au nanoparticles have also been intensively studied for other biomedical applications, i.e. biodiagnostic assays, surface enhanced Raman scattering (SERS), photoacoustic imaging (PAT) and controlled drug release [16]. Up to now, various Au nanostructures including nanoshells, nanorods and nanocages have been prepared [17]. More recently, structurally more complex Au nanostructures including nanostars [18], nanohexapods [19], branched or popcorn-shaped nanostructures [20], nanopyramids [21], nanocrosses [22], helix [23], nanocubes [24], dimer/trimer coupled particles [25], 3D plasmonic superstructures [26], planet-satellite analog [27], end/side/satellite isomers [28], and DNA-anchored nanobridged nanogap particles [29], have also been successfully synthesized. These complex Au nanostructures exhibited strong enhancement of surface electromagnetic field at the tips of their sharps or arms when they interact with resonant electromagnetic radiation, thus efficiently transduced photon

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energy into heat for PTT, hence heat production is drastically enhanced. For example, nanohexapods reported recently by Xia's group [19] revealed that the branched or sharp nanoparticles may exhibit superior photothermal conversion efficiency relative to nanorods or nanocages thus represent tremendous potential as photothermal therapeutic agents.

We recently reported a new class of "sea urchin"-like Au mesoparticles [30], which exhibited high enhancement of SERS [31]. However, it should be noted that the size ($\sim 1 \mu\text{m}$) of the Au mesoparticles in our case is too large, probably a result that the potential as PTT agent in biological applications is significantly limited. Up to now, although it has been reported that the complex nanostructures, such as Au nanostars, nanohexapods, and nanocrosses, can act as a thermal transducer of NIR radiation, the potential use of 'sea urchin'-like nanostructures with small size ($< 100 \text{ nm}$) and super photothermal treatment of cancer has, to the best of our knowledge, not yet been reported. In this work, using a seed-mediated growth combining the galvanic reaction and the chemical reduction, we synthesized the hollow Au–Ag alloy nanourchins (HAAA-NUs) with sub-100 nm in size. Owing to the high density of sharp tips, the HAAA-NUs are promising candidates as photothermal transducers for various theranostic applications.

2. Materials and methods

2.1. Materials

Trisodium citrate dehydrate ($\text{Na}_3\text{C}_6\text{H}_5\text{O}_7 \cdot 2\text{H}_2\text{O}$) 99+%, silver nitrate (AgNO_3) $\geq 90\%$, gold (III) chloride hydrate ($\text{HAuCl}_4 \cdot 4\text{H}_2\text{O}$) 99.999%, L-DOPA (3,4-dihydroxyphenylalanine) 98%, were all purchased from Sigma Aldrich. All aqueous solutions were prepared using deionized (DI) water with a resistivity of $18.2 \text{ M } \Omega \text{ cm}$.

2.2. Synthesis of PEG modified HAAA-NUs and colloidal Au nanoparticles

2.2.1. Synthesis of HAAA-NUs and colloidal Au nanoparticles

The HAAA-NUs were synthesized via the seed-mediated growth [32]. Firstly, we prepared silver seeds according to the typical method reported by Lee and Meisel [33]. Briefly, 9 mg silver nitrate was added into 50 ml conical flask with 50 ml DI water. Adding 1.2 ml of 1% sodium citrate after the solution was boiled. After boiled for another 2 min, the 25 nm Ag seeds were obtained by cooling down the solution to room temperature. Then the cool solution was collected and its volume was fixed at 50 ml. Secondly, 2.4 ml HAuCl_4 aqueous solution (10 mM) was mixed with 4.3 ml DI water in a 25 ml glass vial by a rotating magnetic bar with a speed of 300 rpm. After 10 min, 0.9 ml of the as-prepared 25 nm silver seeds were fed into the system, followed by the addition of 2.4 ml L-DOPA aqueous solution (10 mM). After 1 min, the spin rate was slowed down to 100 rpm. The solutions before mixing were all kept at 10°C . After 10 min, the black suspension was centrifuged at 6000 rpm for 1 min to collect the product. Then wash the product two times with deionized water.

The gold nanoparticles used here (about 80 nm in diameter) were prepared according to the previously reported protocol [34]. Briefly, 50 ml of 0.01% HAuCl_4 was heated to boiling. 0.21 ml of 1% sodium citrate was then added rapidly into the boiling solution of HAuCl_4 , which was further reacted for 30 min. The reaction mixture finally formed the solution of Au nanoparticles.

2.2.2. Synthesis of PEGylated HAAA-NUs and colloidal Au nanoparticles

To stabilize the as-prepared HAAA-NUs, we conjugate of PEG with HAAA-NUs. The LA-PEG (Lipoic acid modified PEG) we used was synthesized following a reported protocol [35], 5 mg of LA-PEG was added into 1 mL of HAAA-NUs solution and incubated overnight at room temperature. Excess LA-PEG was removed by centrifugation at 4800 rpm and washed three times with water to obtain PEGylated HAAA-NUs.

2.3. Characterization

The morphology of the HAAA-NUs was characterized by using a field emission scanning electron microscopy (FE-SEM) using a JEOL (JSM-7000F) at an accelerating voltage of 20 kV and a JEOL TEM-2100 transmission electron microscope operating at an accelerating voltage of 200 kV. Ultraviolet–visible spectra of HAAA-NUs with various particle sizes and various concentrations were taken by Hitachi U-4100 UV–vis–NIR spectrophotometer and the other UV–vis spectra were taken by the PerkinElmer Lambda 750 UV–vis–NIR spectrophotometer. ICP-MS analysis was performed with a Shimadzu ICP-AES (ICPE-9000). The IR laser for photothermal experiments is an 808 nm high power laser diode (Hi-Tech Optoelectronic Co., Ltd., Beijing, China). Infrared thermal images were taken by IRS E50 Pro Thermal Imaging Camera.

2.4. Cytotoxicity assay

The murine breast cancer 4T1 cells were cultured in 1640 cell medium supplemented with 10% fetal bovine serum (FBS) and 1% penicillin/streptomycin, which recommended by American Type Culture Collection (ATCC). To examine the cytotoxicity of HAAA-NUs with 80 nm in size, Au nanoparticle and HAAA-NUs with 200 nm in size, the 4T1 cells (in culture medium) were dispensed in 96-well plates containing 1×10^5 cells per well. Then 25 μL of HAAA-NUs with 80 nm in size, Au nanoparticle and HAAA-NUs with 200 nm in size dissolved in culture medium and with different concentrations (from 0 to 0.08 mg/mL) were added to each well. A standard cell viability assay using 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) was carried out to determine the relative viabilities after 4T1 cells were respectively incubated with three kinds of materials (HAAA-NUs with 80 nm in size, Au nanoparticle and HAAA-NUs with 200 nm in size) at various concentrations for 24 h. For *in vitro* PTT, PEG-HAAA-NUs (0.04 mg/ml) was incubated with 4T1 cells for 24 h before being exposed to the 808 nm NIR laser at different power densities for 5 min. Then the cell killing efficiency of HAAA-NUs after photothermal ablation was evaluated by an MTT assay. After NIR laser exposure, the cells were stained with 0.4% Trypan blue solution for 10 min and then washed with PBS and imaged by microscope.

2.5. Measurement of photothermal performance

The PEGylated HAAA-NUs suspension was diluted to a series of concentrations (8, 20, 40, 80, 400 $\mu\text{g/ml}$). The suspensions of PEGylated HAAA-NUs (1 mL, at various mass concentrations) were placed in quartz cuvette with a thickness of 1 cm and illuminated with an 808 nm diode laser at a power density of 1 W/cm^2 for 300 s. The temperature of the suspension was measured by a thermocouple immersed in it. IR thermal images for various concentrations of PEG-HAAA-NUs (80 nm) measured by the thermal imaging camera at the same time. Photothermal effect of HAAA-NUs (200 nm), Au nanoparticles and pure DI water were performed at the same parameters.

2.6. Photothermal stability of HAAA-NUs

To investigate the photothermal stability of the HAAA-NUs, the following experiments were performed. In a typical study, 1 mL PEGylated HAAA-NUs aqueous suspensions (80 $\mu\text{g/ml}$) in quartz cuvette was irradiated with the laser ($\lambda = 808 \text{ nm}$) at a power density of 1 W/cm^2 for diverse irradiation time (5, 10, 20, 30 min). The UV–Vis spectra of the suspension irradiated various time were taken to assess the stability of the HAAA-NUs (Fig. 2b). Moreover another experiment was carried out to further evaluate the stability of HAAA-NUs. First, we expose the sample with the 808 nm laser (1 W/cm^2) for 180 s. Then shut off the laser for 180 s. Repeating the above two steps by controlling the laser on and off with an interval of 180 s for 5 cycles. Cool the suspensions to room temperature, and then repeat the heating and cooling process for another 5 cycles. During the twice of five laser on/off cycles of NIR laser irradiation, the temperature of the suspensions was recorded continuously (Fig. 2c).

2.7. In vivo animal experiments

Female Balb/c mice were purchased from Suzhou Belda Bio-Pharmaceutical Co., Ltd. and used under protocols approved by Animal Center of Soochow University. 1×10^6 4T1 cells in 60 μL medium were subcutaneously injected into the right shoulder of female Balb/c to generate the 4T1 tumor model. The mice were used for further experiments when the tumor had grown to 60 mm^3 in volume. For *in vivo* PPT, BALB/c mice bearing 4T1 tumors were intratumorally injected with 15 μL of 0.2 mg/ml PEG-HAAA-NUs (80 nm). The mice in control groups were treated with the same volume of saline. The tumors of mice with and without PEG-HAAA-NUs injection was irradiated by an optical fiber coupled 808 nm high-power diode-laser (Hi-Tech Optoelectronics Co., Ltd., Beijing, China) at power densities of 1 W/cm^2 for 5 min and simultaneously imaged by an IR thermal camera. The tumor sizes were measured by a caliper every two day and calculated as the volume = (tumor length) \times (tumor width) $^2/2$. Relative tumor volumes were calculated as V/V_0 (V_0 was the tumor volume when the treatment was initiated).

3. Results and discussion

3.1. Preparation and characterization

In this study, the HAAA-NUs, consisting of the highest density of sharp tips reported so far for nanostars or nanoflowers within sub-100 nm in size, were successfully synthesized via a seed-mediated growth route using a seed-mediated growth combining the galvanic reaction and the chemical reduction between HAuCl_4 and L-3,4-dihydroxyphenylalanine (L-DOPA) (see details in Experimental Section and Supplementary Information) [32]. Fig. 1a shows the schematic plots of the synthesis of HAAA-NUs and their

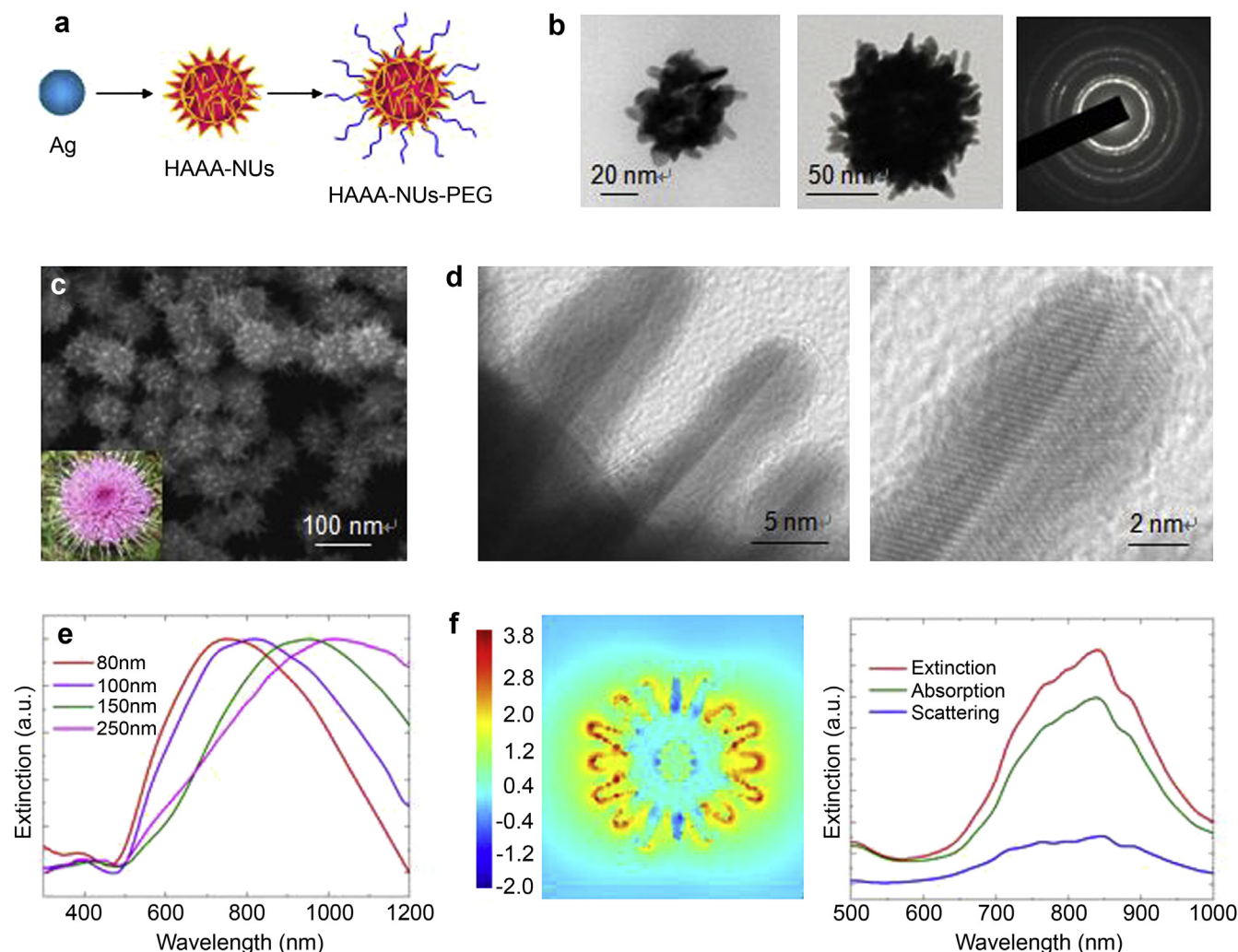


Fig. 1. Synthesis and characterization of HAAA-NUs. a, Strategy for HAAA-NU synthesis and functionalization. b, TEM images and SAED pattern of HAAA-NUs recorded from the whole particles. c, Typical SEM image of HAAA-NU particles. The inset shows the photograph of an urchin. d, TEM and HRTEM images of the tips for HAAA-NUs. e, UV–Vis–NIR absorbance spectra of HAAA-NUs with various particle sizes (80–200 nm) measured in DI water. f, Calculated electromagnetic field distribution and intensity of the individual HAAA-NUs as well as three particle aggregate for 20 nm hollow interior at 808 nm wavelength.

functionalization by poly (ethylene glycol) (PEG) to impart stability in physiological solutions used for photothermal properties, *in vitro* cell experiments as well as *in vivo* photothermal tumor therapy. The structure of HAAA-NUs is shown in Fig. 1b, displaying obvious hollow interior (Fig. S1–S2) and polycrystalline essence. Combining the SEM image (Fig. 1c), one can roughly estimate the quantity of the tips for individual HAAA-NUs, e.g. more than 100 tips within sub-100 nm HAAA-NUs. The morphology of the individual HAAA-NUs is reminiscent of an urchin (inset of Fig. 1c). The sharp tips and the corners between tips can be easily identified in the high resolution TEM (HRTEM) images (Fig. 1d). An apparent twinned plane along the longitudinal direction of the tips can be easily observed, indicating that the tip structures are twinned. Fig. 1e shows the UV–vis–NIR spectra of the HAAA-NUs measured in deionized (DI) water with sizes ranging from 80 nm to 200 nm, respectively. The HAAA-NUs exhibit a wide tunable capability of localized surface plasmon resonances (LSPRs) with a broad NIR absorption band from 700 to 1000 nm. As we have mentioned above, this region of the NIR absorption band is definitely beneficial for the practice of the PTT. The three-dimensional finite difference time-domain (FDTD) calculation shows a strong interaction

($|E|^4 \sim 3.7 \times 10^7$) between electromagnetic irradiation and HAAA-NUs (Fig. 1f), which may result in strong, highly localized photothermal heating upon laser illumination. The surface electromagnetic field is highly confined around the tips of HAAA-NUs. Again, the extinction spectrum, combining the contribution from absorption and scattering, obtained from individual HAAA-NUs, support their high absorption capability. Furthermore, when the HAAA-NUs approaching, additional “hot sites” can be created via the interparticle effect (Fig. S3).

3.2. Photothermal effect of HAAA-NUs *in vitro*

To investigate the photothermal conversion properties induced by NIR absorption for various particle sizes, HAAA-NUs with 80 nm and 200 nm in size are chosen for further characterizations. The HAAA-NUs are functionalized by lipoic acid conjugated PEG (LA-PEG), a biocompatible polymer, to impart stability in physiological solutions. Fig. 2a demonstrates the UV–vis–NIR spectra of the PEG-HAAA-NUs measured in DI water and used for following *in vitro* cell experiments as well as *in vivo* photothermal tumor therapy. As a comparison, Au nanoparticles with 80 nm in size (see SEM image in

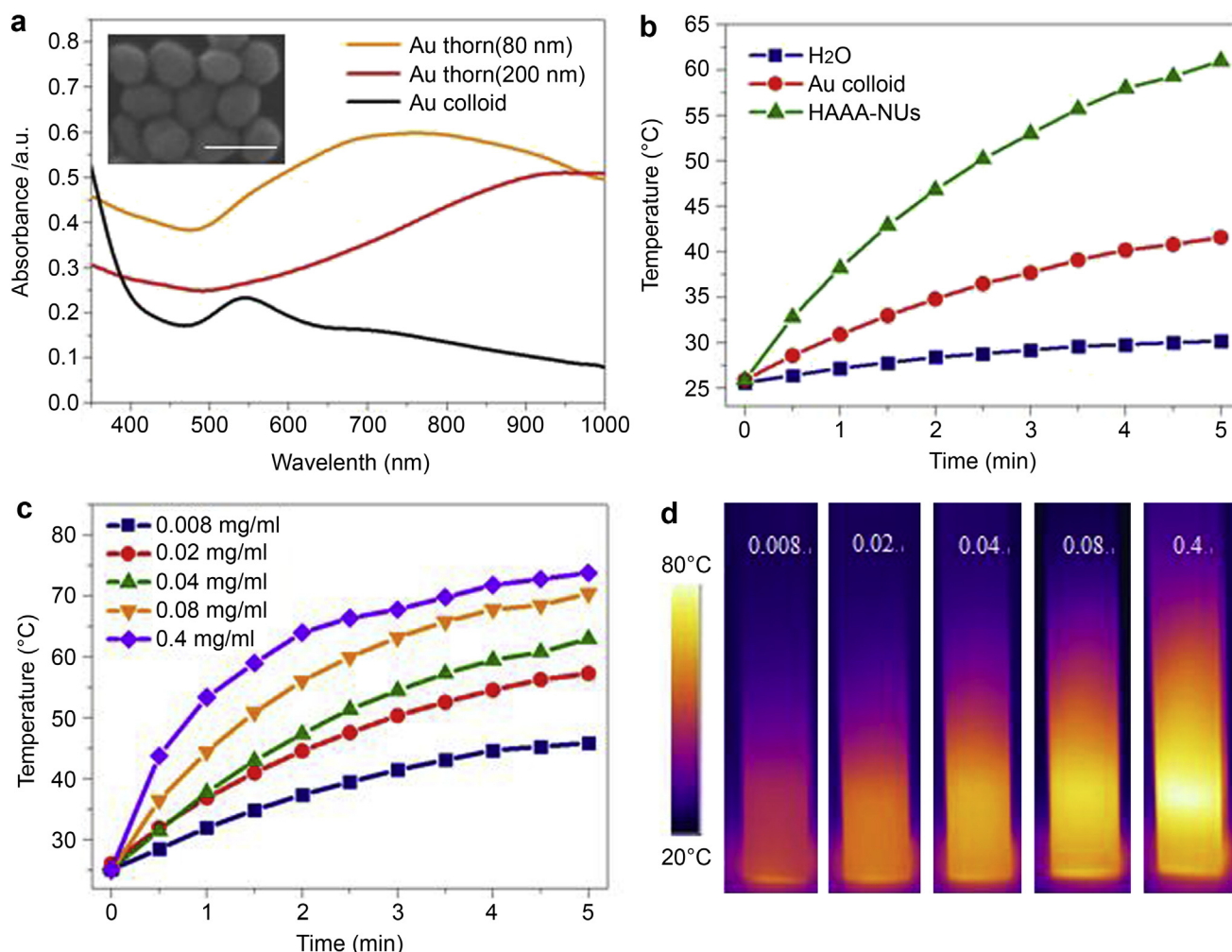


Fig. 2. Optical absorption and photothermal properties of HAAA-NUs. a, Absorption spectra of PEG-HAAA-NUs with particle sizes of 80 and 200 nm measured in DI water (0.04 mg/ml). Inset is colloidal Au nanoparticles (0.05 mg/ml) with 80 nm in size, which will be used in current study as a comparison. The scale bar in the inset is 100 nm. b, Photothermal effect of HAAA-NUs (80 nm), colloidal Au and pure DI water, respectively. The temperature versus time plots were recorded for concentration of PEG-HAAA-NUs (0.025 mg/ml) and PEG-colloidal Au (0.05 mg/ml) under 808 nm laser irradiation at a power density of 1 W/cm². c and d, Plots of temperatures as a function of irradiation time and IR thermal images for various concentrations of PEG-HAAA-NUs (80 nm) measured at the same parameters as b.

the inset of Fig. 2a) are used. The UV–vis–NIR spectra of three kinds of particles showed that the LSPR peaks are 772 nm, 950 nm and 546 nm, respectively. As depicted in Fig. 2b, pure DI water did not show a significant response to the irradiation even at 808 nm and 1.0 W/cm². The temperature of the Au nanoparticles with particle concentration of 0.05 mg/ml, increased with the extension of irradiation time, reaching 42 °C in 5 min, while irradiation to PEG-HAAA-NUs (80 nm) with a concentration of 0.025 mg/ml lead to a high temperature at 5 min, reaching 61 °C. We next examined the photothermal effect of PEG-HAAA-NUs (80 nm) with different concentrations (Fig. 2c). The rate of temperature rise and the final temperature were proportional to the particle concentration, typically a slower and small increase was observed for a lower concentration. The irradiation to PEG-HAAA-NUs at 0.08 and 0.4 mg/ml for 5 min, the temperature can reach to 70 °C and 74 °C, respectively. The rising of solution temperature was mapped and quantified by real-time thermal imaging using a thermal camera. As shown in Fig. 2d, the pathway of laser beam in solution readily changed the color from dark red to yellow even white, indicating the temperature of solution gradually increased with the increasing of particle concentrations. In order to study the influence of particle size on the photothermal effect, the PEG-HAAA-NUs with 200 nm

in size have also been investigated using different concentrations (Fig. S4). Under the same irradiation conditions, the temperatures of solution at 0.08 and 0.4 mg/ml can reach to 62 °C and 73 °C, respectively. It is an obvious difference in stable temperature for 200 nm sized HAAA-NUs below the concentration of 0.08 mg/ml relative to the HAAA-NUs with 80 nm in size.

To assess deep insights into the photothermal properties of HAAA-NUs, the molar extinction coefficient was calculated to be $2.2 \times 10^{10} \text{ m}^{-1} \text{ cm}^{-1}$ (the inset of Fig. 3a). This value is remarkable compared with many PTT agents such as Au nanorods (1.9×10^9) [17], Au nanohexapods (5.5×10^9) [19], Pd nanosheets (4.1×10^9) [36] reported elsewhere. The large absorption cross section of HAAA-NUs revealed that the “urchin”-like structures are effective in absorbing rather than scattering the incident light, suggesting their tremendous potentials for PTT. The photothermal conversion efficiency (η) was also calculated according to the thermal balance as described in previous reports [10,37]. The energy output was determined by switching off the laser after the HAAA-NU solution reached the steady state and measuring the temperature decay curve (Fig. 3a). The η value of HAAA-NUs was obtained (see Supporting Information and Fig. S5–S6) to be 80.4%, which is again highly superior among the reported PTT agents [37–40]. To shed

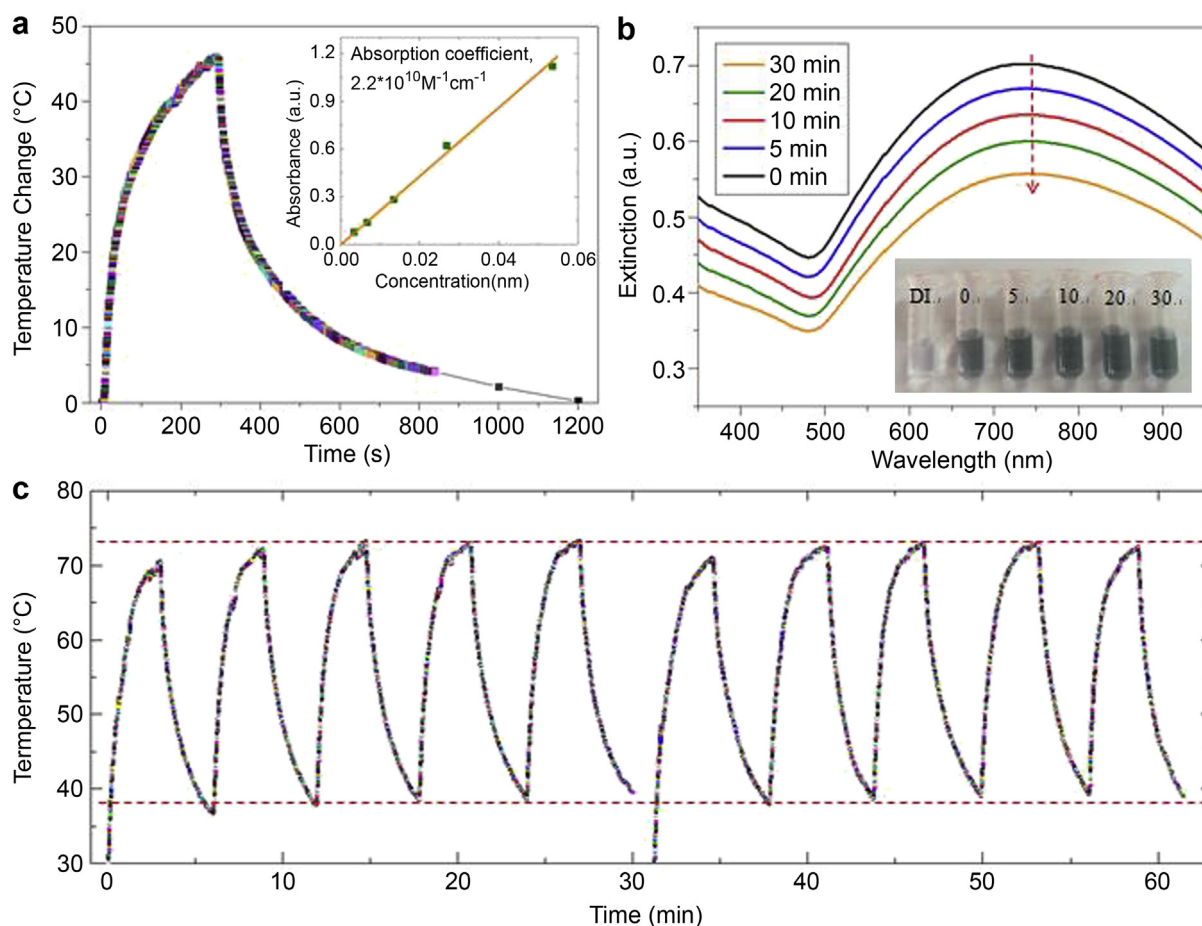


Fig. 3. Photothermal conversion efficiency and photostability of HAAA-NUs. a, The photothermal response of the aqueous dispersion of PEG-HAAA-NUs (0.08 mg/ml) for 300 s with an NIR laser (808 nm, 1 W/cm^2) and then the laser was shut off. The inset is a linear relationship for the optical absorbance as a function of the concentration of HAAA-NUs. b, Absorbance spectra of PEG-HAAA-NUs in water exposed to an 808 nm laser at 1 W/cm^2 for diverse irradiation time. The inset shows the photograph of PEG-HAAA-NUs in water for diverse irradiation time. c, The temperature elevation of PEG-HAAA-NUs over ten laser on/off cycles of NIR laser irradiation.

light on the possible reasons for such as a high photothermal conversion capability of the HAAA-NUs, we investigated their absorption and photostability, which are two critical factors in determining the η value of PTT agents. Moreover, the photostability upon irradiation by strong laser is the main disadvantage for Au or Ag nanostructures when they serve as the PTT agents owing to the structural anisotropy (e. g., nanorods, nanoplates) or hollow interior (e. g., nanocages, nanoshells) [41,42]. According to the FDTD calculation as shown in Fig. 1f, a ratio of absorption to extinction coefficient of around 0.82 was obtained, assuring the high photothermal conversion efficiency. We then proceeded to evaluate their photostability, by means of continuous exposition to an 808 nm laser at 1 W/cm^2 for 5, 10, 20, and 30 min, and measuring the absorption as well as morphology change. No color change or absorption peak-shift were observed for HAAA-NUs (Fig. 3b and inset of Fig. 3b). TEM images for before and after irradiation exhibit that the HAAA-NUs still maintained their morphology and size, indicating their high photostability in the NIR laser irradiation (Fig. S7). Further, ten cycles of laser on/off with NIR light were used, where solution of HAAA-NUs was irradiated with NIR laser for 3 min (laser on, Fig. 3c), followed by naturally cooling to room temperature without NIR laser irradiation for 10 min (laser off). As shown in Fig. 3c, no significant decrease for the temperature elevation was observed till to ten cycles. The excellent photostability further allowed HAAA-NUs to absorb more light and convert it into heat during the laser irradiation.

3.3. Cytotoxicity and photothermal effect of HAAA-NUs in vivo

To explore the applications of HAAA-NUs in biomedicine, we first tested their potential toxicity on 4T1 murine breast cancer cells [43]. The standard methyl thiazolyl tetrazolium (MTT) assay was carried out to determine the relative viabilities after they were incubated with HAAA-NUs at various concentrations for 24 h. No significant cytotoxicity on 4T1 cells was observed for all three types of nanoparticles, HAAA-NUs with 80 nm in size (Fig. 4a), Au nanoparticle (Fig. 4b) and HAAA-NUs with 200 nm in size (Fig. S8), particularly at concentrations below 0.04 mg/ml. The viable cell count for healthy 4T1 cells was reduced by only 10% (compared to the untreated control) after 24 h of exposure to a quite high concentration, e.g. 0.08 mg/ml. The present results indicated that the HAAA-NUs exhibit excellent biocompatibility (Fig. S9).

Next, we used the HAAA-NUs as the photothermal agent for *in vitro* cancer cell ablation under laser irradiation. The 4T1 cells were incubated with or without PEG-HAAA-NUs (0.04 mg/ml) for 24 h and then were exposed to 808 nm laser at different power densities for 5 min. After NIR laser exposure, dead cells were stained blue by treatment with 0.4% trypan blue for 10 min. The microscope images showed no changes for the control groups, that is, cells cultured without NIR laser irradiation (Fig. 4d). As the increase of laser power densities, more cells incubated with HAAA-NUs were killed by the laser irradiation (Fig. 4e–g). The cell destruction was mainly happened after being exposed to the NIR

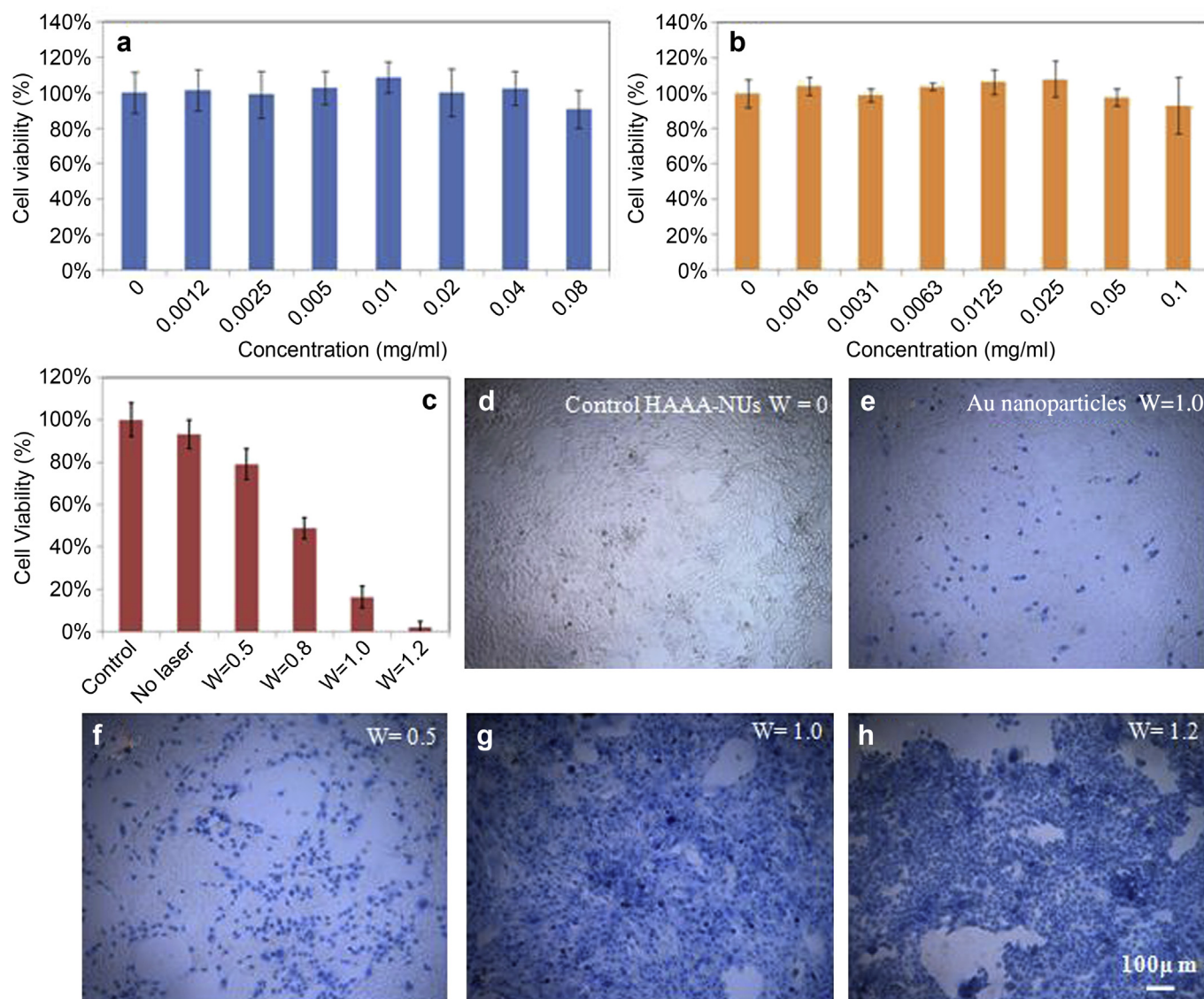


Fig. 4. *In vitro* 4T1 cell experiments. a and b, Relative viabilities of 4T1 cells after being incubated with various concentrations of HAAA-NUs (80 nm) and Au nanoparticles (80 nm) for 24 h. c, Relative viability of 4T1 cells after HAAA-NUs-induced (0.04 mg/ml) photothermal ablation at different laser power densities. D–h, Microscopic images of Trypan blue stained 4T1 cells with and without HAAA-NU after being exposed to the 808 nm laser at different power densities for 5 min.

laser at 1.2 W/cm² for 5 min (Fig. 4c and 4h), reaching to nearly 100%. In contrast, cells incubated with Au nanoparticles show a slight destruction even at a high power density of 1.0 W/cm², revealing that the HAAA-NUs represent the prominent nanomaterials as photothermal transducers. Moreover, the concentration of the PPT agent (0.04 mg/ml), irradiation time (5 min) as well as the laser power density (1.0 W/cm²) are among the lowest in comparison to many previous reported *in vitro* cell ablation experiments using other PPT agents such as Au nanorods [13], polypyrrole nanomaterials [44] and Au nanoparticles-decorated Si nanowires [45].

Motivated by the superior *in vitro* cell killing results and the strong NIR optical absorption ability, we then carried out an *in vivo* PTT study using the 4T1 model of tumor tissues on Balb/c mice. For *in vivo* monitoring of the PTT effect generated from PEG-HAAA-NUs, an infrared thermal mapping apparatus was used to record the temperature change in the tumor area under irradiation by a NIR laser. After being intratumorally (i. t.) injected with 15 μL of PEG-HAAA-NUs (80 nm) at 0.2 mg/ml, mice (five per groups) bearing

4T1 tumor at the right shoulder were anesthetized and exposed to an 808 nm laser at the power density of 1.0 W/cm². Under irradiation, the tumor surface temperature obviously increased from ~30 to ~70 °C within 5 min (Fig. 5a, bottom rows) which was high enough to kill tumor *in vivo*. In comparison, the tumor temperature of mice without injection of PEG-HAAA-NUs under the same laser irradiation showed little change (Fig. 5a, top rows). Another two control groups of mice with and without injection of PEG-HAAA-NUs were not irradiated by the NIR laser. Tumor sizes were measured every 2 days after treatment (Fig. 5b). All irradiated tumors on mice injected with PEG-HAAA-NUs were effectively ablated (necrotized and shrunk) after 1 day of laser irradiation, leaving black scars at the original tumor site, which fell off about 1 week after treatment (Fig. 5d). No obvious slow growth or regrowth of tumor were observed in this treated group over a period of 50 days, after which the study was ended. In contrast, tumors in the control untreated group, the irradiation only group (no injection of PEG-HAAA-NUs), and the PEG-HAAA-NUs only group (no laser irradiation) showed similar growth speed, demonstrating that the

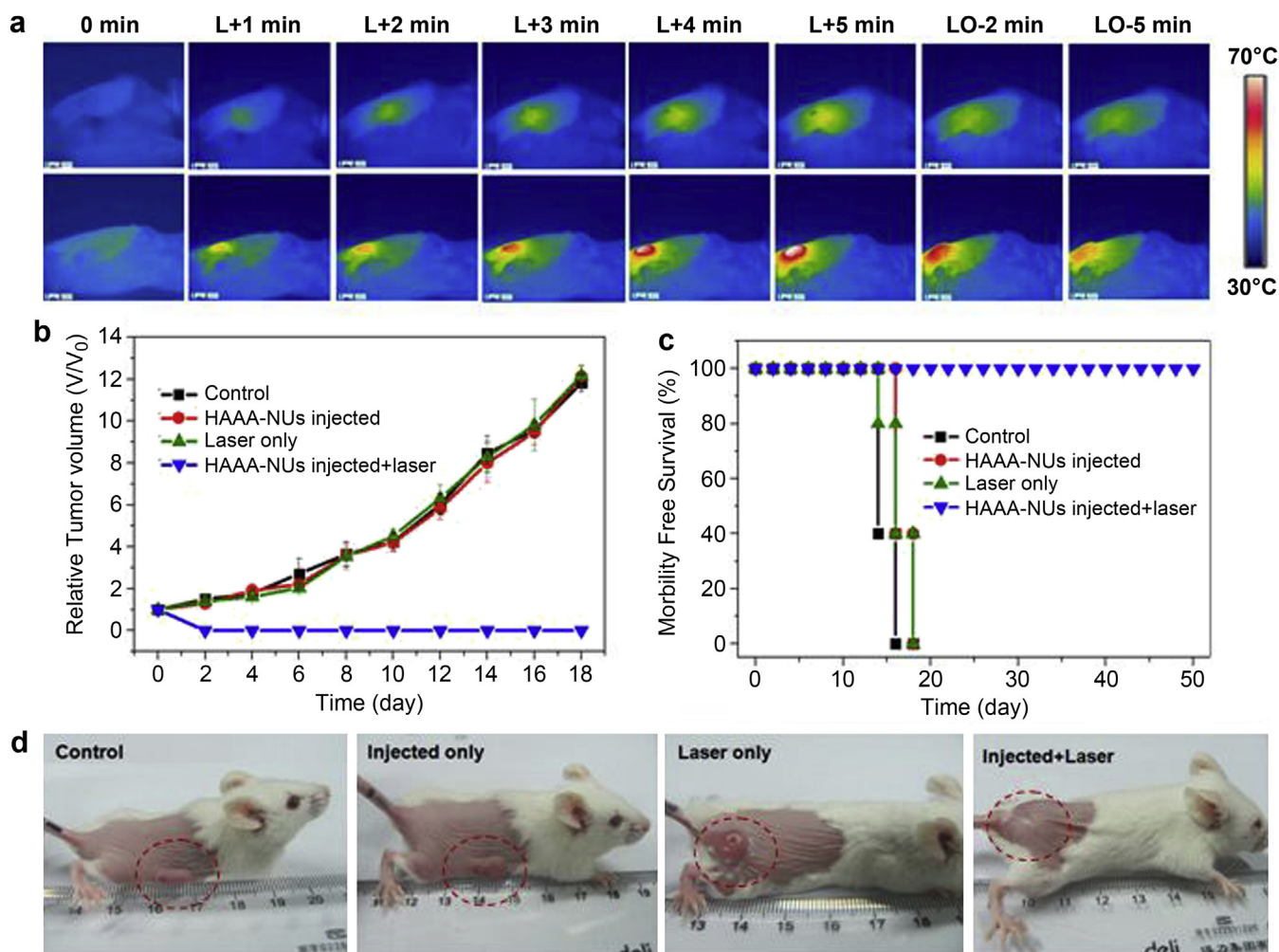


Fig. 5. *In vivo* photothermal tumor therapy. a, IR thermal images of tumor-bearing mice injected with PEG-HAAA-NUs under laser irradiation (808 nm, 1.0 W/cm²). “L” denotes “laser on”, “LO” denotes “laser off”. b, The tumor growth curves different groups of mice after treatment. The tumor volumes were normalized to their initial sizes. Laser wavelength = 808 nm, power density = 1.0 W/cm², irradiation time = 5 min. Error bars were based on standard deviation of 5 mice per group. c, Survival curves of mice bearing 4T1 tumor after various treatment indicated. PEG-HAAA-NUs injected mice after photothermal therapy survived over 50 days without any single death. There are 5 mice per group. d, Representative photos of tumors on mice after various treatments indicated. The laser irradiation tumor on PEG-HAAA-NUs injected mouse was completely destructed.

irradiation by NIR laser or injection with PEG-HAAA-NUs by themselves did not affect the tumor development (Fig. 5b and d). Importantly, mice in the three control groups showed average life spans of ~18 days, while mice in the treated group were tumor-

free after treatment (injection with PEG-HAAA-NUs and NIR laser irradiation) and survived over 50 days without a single death (Fig. 5c). The monitored body weight every two days throughout the experimental period (Fig. S10) for mouse just after PTT firstly

Table 1
Characteristics for various PTT agents.^a

| Materials | Dimension (nm) | ΔT (°C) | η (%) | ε (M ⁻¹ cm ⁻¹) | λ (nm) | P (W/cm ²) | Ref. |
|------------------------------------|----------------|-----------------|------------|---|----------------|--------------------------|------------|
| Au nanospheres | 40 | — | — | 7.7×10^9 | 560 | — | [46] |
| Au nanorods | 17 | 27.3 | 29.6 | 1.9×10^9 | 808 | 0.4 | [47] |
| Au nanocages | 45 | 58.7 | 63.6 | 3.2×10^{10} | 808 | 0.4 | [48,49] |
| Au nanohexapods | 25 | 27.3 | 29.6 | 5.5×10^9 | 808 | 0.8 | [49,19] |
| PPy-coated Au metalballs | 120 | 25.2 | 24 | 4.9×10^{10} | 808 | 1.24 | [50] |
| HAAA-NUs | 80 | 50 | 80.4 | 2.2×10^{10} | 808 | 1.0 | This study |
| Pd nanosheets | 41 | 20.7 | — | 4.1×10^9 | 808 | 1.0 | [36] |
| Carbon nanotubes | 60 | 19 | — | — | 808 | 2.0 | [51] |
| Graphene Nanosheets | 10–50 | 37 | — | — | 808 | 2.0 | [52] |
| Cu ₉ S ₅ NPs | 70 | 15.1 | 25.7 | 1.2×10^8 | 980 | 0.51 | [53] |
| Cu _{2-x} Se NPs | 16 | 33 | 22 | 7.7×10^7 | 800 | 2.0 | [10] |
| Dpa-melanin NPs | 70 | 33.5 | 40 | 7.3×10^8 | 808 | 2.0 | [37] |

^a ΔT , temperature change; η , photothermal conversion efficiency; ε , molar extinction coefficient; λ , laser wavelength; P , power irradiated; NPs, nanoparticles.

reduced with around 8.7% lost, followed by gradually increased to around initial weight. Hematoxylin and eosin (H&E) stained organ slices disclosed no apparent organ damage or abnormality at day 50 post treatment (Fig. S11). The evolution of the body weight as well as the major organs revealed that the PEG-HAAA-NUs were no significant cell toxicity. These results demonstrated the excellent efficacy of PEG-HAAA-NUs in *in vivo* PTT. In Table 1, the characteristic figures of merit for different types of PTT agents were summarized. Available data from previous literature [46–53] again support that the current PEG-HAAA-NUs are indeed competitive owing to their remarkably integrated high-quality photothermal feature. Moreover, HAAA-NUs may find wide applications in diverse imaging techniques, such as photoacoustic imaging and Raman imaging, etc. Furthermore, the imaging-guided cancer PTT may also be exploited. The HAAA-NUs may also be used in particle-based drug carrier via hollow interior and tumor-targeted PTT with an optimized targeting conjugation, thus a synergetic protocol combining the chemotherapy and PTT would be realized [54].

4. Conclusions

In summary, we have successfully employed the sub-100 nm HAAA-NUs for applications in photothermal cancer treatment. The HAAA-NUs with sub-100 nm in size represent a new class of optically tunable Au nanostructures consisting of ultrahigh density of nanotips, Ag doped Au alloy component as well as hollow interior. The HAAA-NUs exhibit an extremely strong SPR absorption (extinction coefficient, $2.2 \times 10^{10} \text{ m}^{-1} \text{ cm}^{-1}$), high photothermal conversion efficiency (80.4%), as well as exciting photothermal stability in the NIR region. With a PEG coating, HAAA-NUs are stable in physiological environments and show low cytotoxicity to 4T1 murine breast cancer cells. The *in vitro* cancer cell ablation and *in vivo* breast tumor treatment led to significant cell death and 100% tumor elimination, without observing significant toxic side effect after treatment, verifying that the HAAA-NUs are superior photothermal agent for photothermal tumor ablation therapy. Combined together, HAAA-NUs are promising photothermal agent owing to their high photothermal conversion efficiency, improved photothermal stability, low cytotoxicity, as well as effective tumor destruction capability.

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Appendix A. Supplementary data

Supplementary data related to this article can be found at <http://dx.doi.org/10.1016/j.biomaterials.2014.01.053>.

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