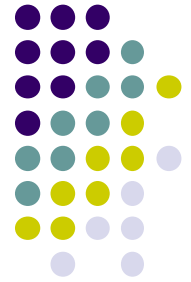


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# Outline



- **Constraint Satisfaction Problems (CSP)**
- **Backtracking search for CSPs**
- **Forward checking**
- **Local search for CSPs**

# CSP



**Standard search problem:**

**state** is a "black box" – any data structure that supports successor function, heuristic function, and goal test

**CSP:**

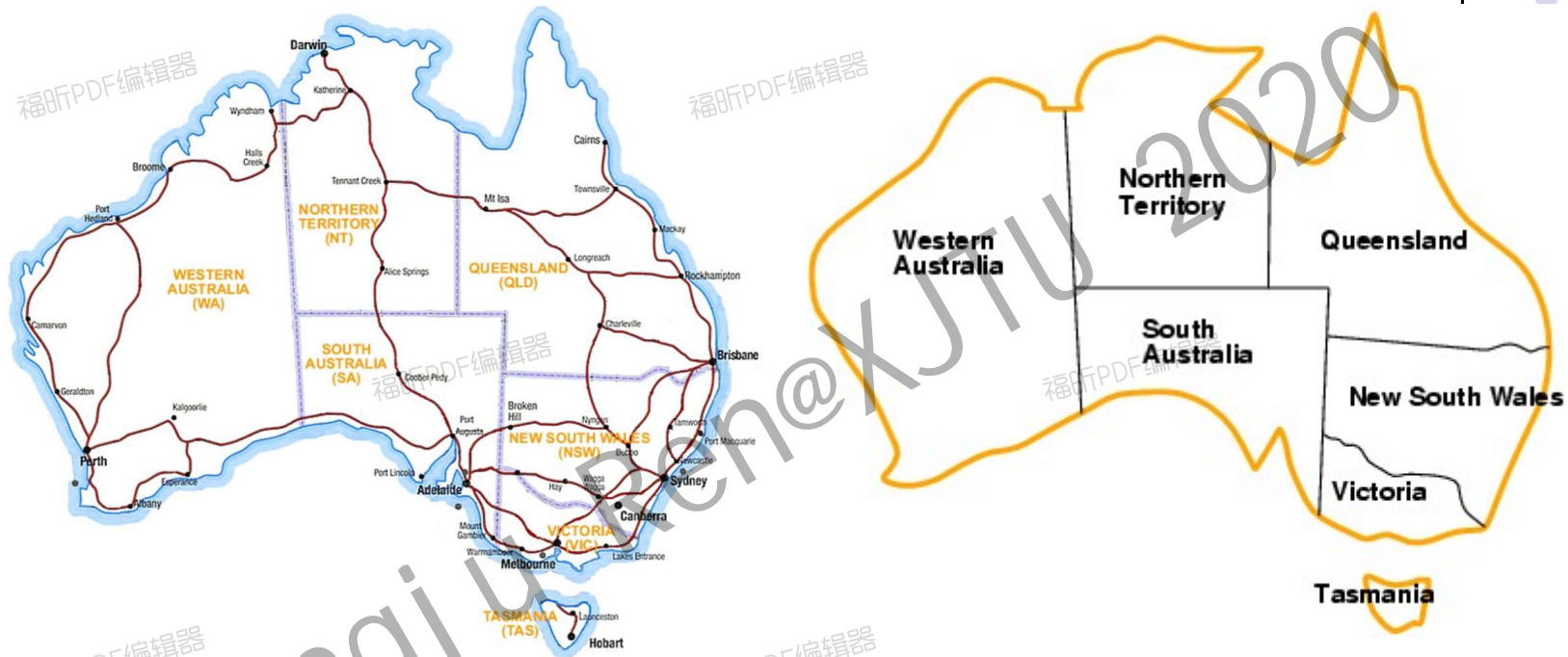
**state** is defined by **variables**  $X_i$  with **values** from **domain**  $D_i$

**goal test** is a set of **constraints** specifying allowable combinations of values for subsets of variables

Simple example of a **formal representation language**

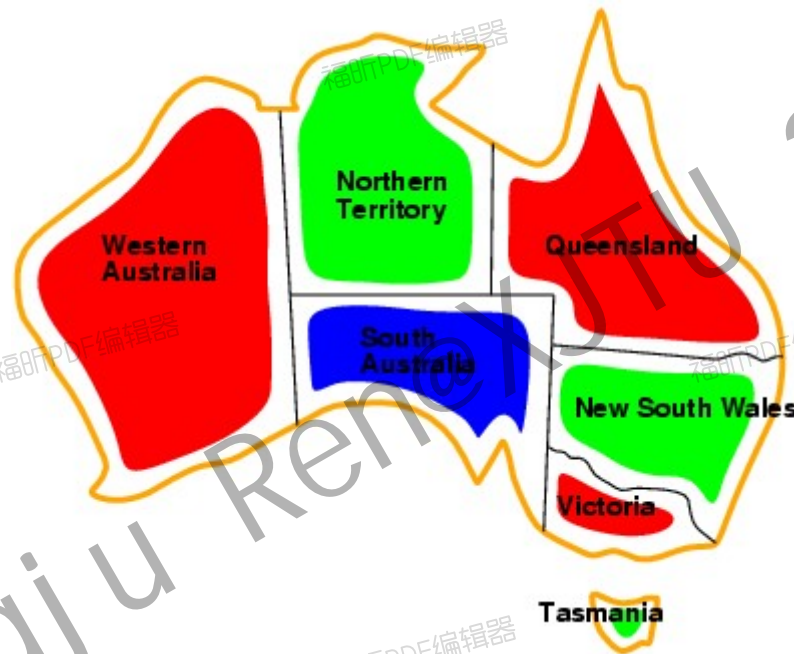
Allows useful **general-purpose** algorithms with more power than standard search algorithms

# Example: Map-Coloring



- **Variables:**  $WA, NT, Q, NSW, V, SA, T$
- **Domains:**  $D_i = \{\text{red, green, blue}\}$
- **Constraints:** adjacent regions must have different colors  
e.g.,  $WA \neq NT$ , or  $(WA, NT)$  in  $\{(\text{red, green}), (\text{red, blue}), (\text{green, red}), (\text{green, blue}), (\text{blue, red}), (\text{blue, green})\}$

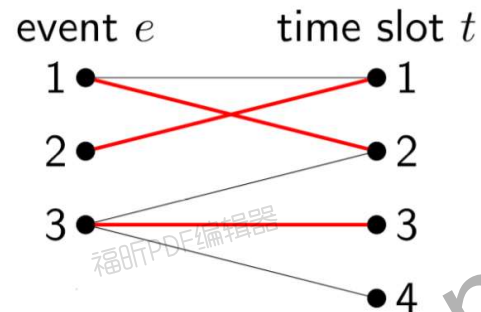
# Example: Map-Coloring



**Solutions** are **complete** and **consistent** assignments,  
e.g., WA = red, NT = green, Q = red, NSW = green,  
V = red, SA = blue, T = green



# Real-world CSPs



- Have  $E$  events and  $T$  time slots
- Each event  $e$  must be put in exactly one time slot
- Each time slot  $t$  can have at most one event

- Assignment problems
  - e.g., who teaches what class
- Timetabling problems
  - e.g., which class is offered when and where?
- Transportation scheduling
- Factory scheduling
- Notice that many real-world problems involve real-valued variables

# Varieties of CSPs



- **Discrete variables**

- **finite domains:**

- $n$  variables, domain size  $d \rightarrow O(d^n)$  complete assignments
    - e.g., Boolean CSPs, incl.  $\sim$ Boolean satisfiability (NP-complete)

- **infinite domains:**

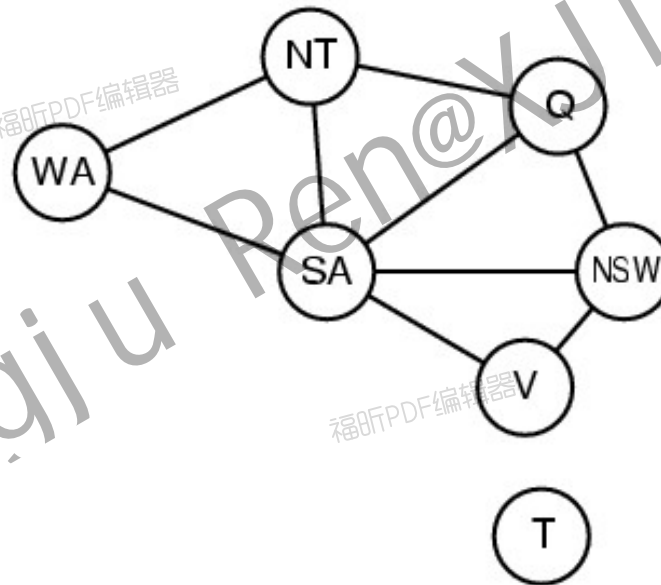
- integers, strings, etc.
    - e.g., job scheduling, variables are start/end days for each job
    - need a **constraint language**, e.g.,  $StartJob_1 + 5 \leq StartJob_3$

- **Continuous variables**

- e.g., start/end times for Hubble Space Telescope observations
  - linear constraints solvable in polynomial time by **linear programming**

# Constraint graph

- **Binary CSP:** each constraint relates two variables
- **Constraint graph:** nodes are variables, arcs are constraints





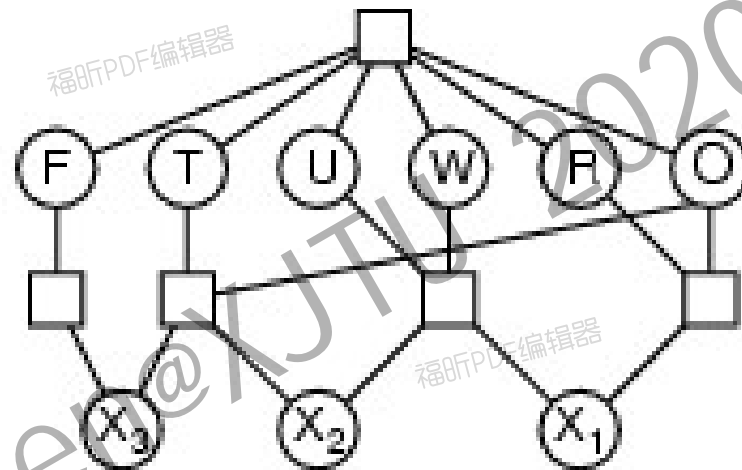
# Varieties of constraints



- **Unary** constraints involve a single variable,
  - e.g.,  $SA \neq \text{green}$
- **Binary** constraints involve pairs of variables,
  - e.g.,  $SA \neq WA$
- **Higher-order** constraints involve 3 or more variables,
  - e.g., cryptarithmic column constraints

# Example: Cryptarithmic

$$\begin{array}{r} \text{TWO} \\ + \text{TWO} \\ \hline \text{FOUR} \end{array}$$



- **Variables:**  $F, T, U, W, R, O, X_1, X_2, X_3$
- **Domains:**  $\{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$
- **Constraints:**  $\text{Alldiff}(F, T, U, W, R, O)$ 
  - $O + O = R + 10 \cdot X_1$
  - $X_1 + W + W = U + 10 \cdot X_2$
  - $X_2 + T + T = O + 10 \cdot X_3$
  - $X_3 = F, T \neq 0, F \neq 0$

# Standard search formulation (incremental)



Let's start with the straight forward approach, then fix it  
States are defined by the values assigned so far

- **Initial state:** the empty assignment { }
- **Successor function:** assign a value to an unassigned variable that **does not conflict** with current assignment  
→ fail if no legal assignments
- **Goal test:** the current assignment is complete
  1. This is the same for all CSPs
  2. Every solution appears at depth  $n$  with  $n$  variables  
→ use depth-first search
  3. Path is irrelevant, so can also use complete-state formulation

# Backtracking search



- Variable assignments are **commutative**, i.e., [ WA = **red** then NT = **green** ] same as [ NT = **green** then WA = **red** ]
- Only need to consider assignments to a single variable at each node  
→  $b = d$  and there are  $d^n$  leaves
- **Depth-first search** for CSPs with single-variable assignments is called **backtracking search**
- Backtracking search is the **basic uninformed algorithm** for CSPs
- Can solve  $n$ -queens for  $n \approx 25$

# Backtracking search



```
function BACKTRACKING-SEARCH(csp) returns a solution, or failure
  return RECURSIVE-BACKTRACKING({}, csp)

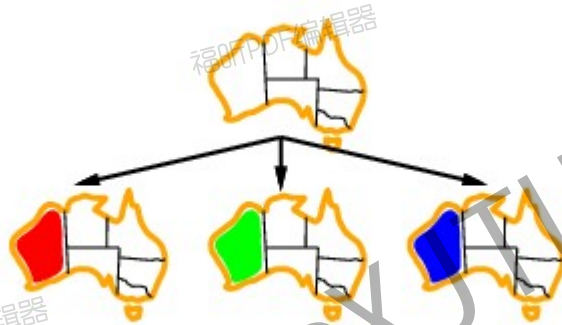
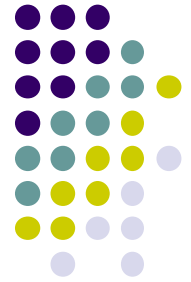
function RECURSIVE-BACKTRACKING(assignment, csp) returns a solution, or
failure
  if assignment is complete then return assignment
  var ← SELECT-UNASSIGNED-VARIABLE(Variables[csp], assignment, csp)
  for each value in ORDER-DOMAIN-VALUES(var, assignment, csp) do
    if value is consistent with assignment according to Constraints[csp] then
      add { var = value } to assignment
      result ← RECURSIVE-BACKTRACKING(assignment, csp)
      if result ≠ failure then return result
      remove { var = value } from assignment
  return failure
```

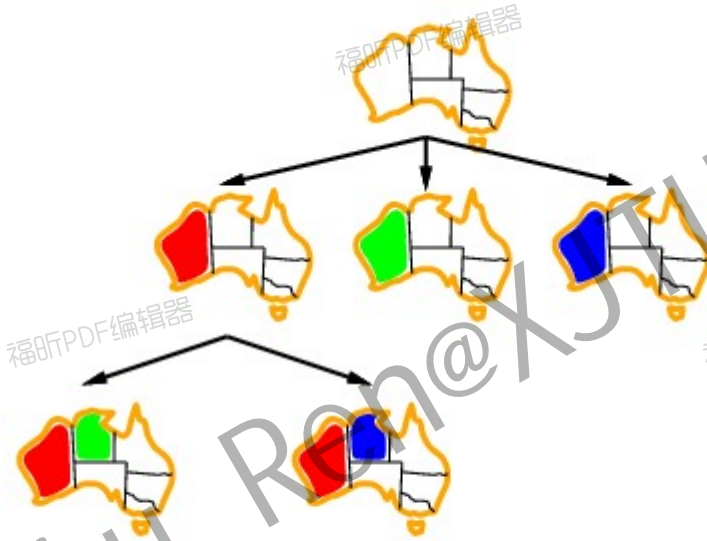
# Backtracking example



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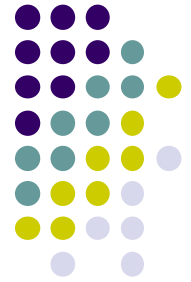
# Backtracking example







# Backtracking example



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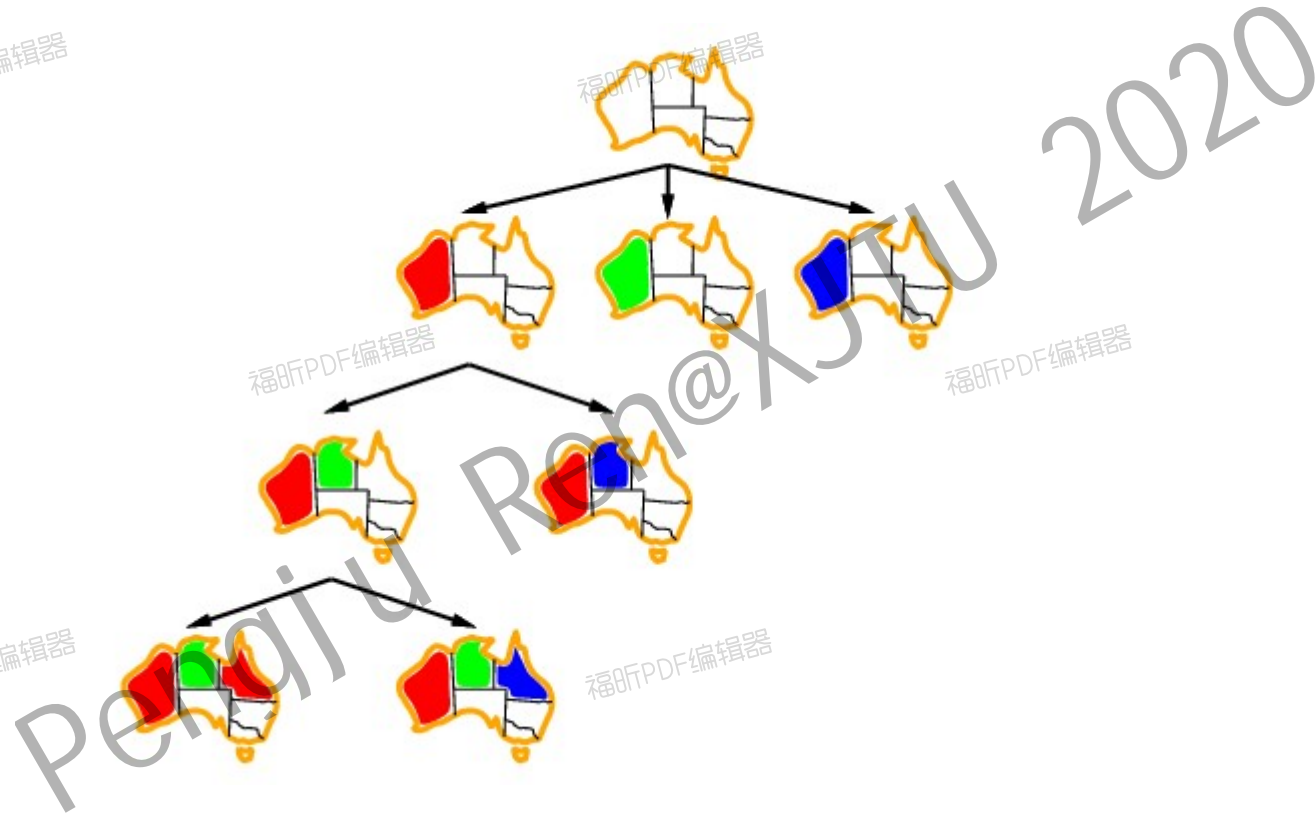
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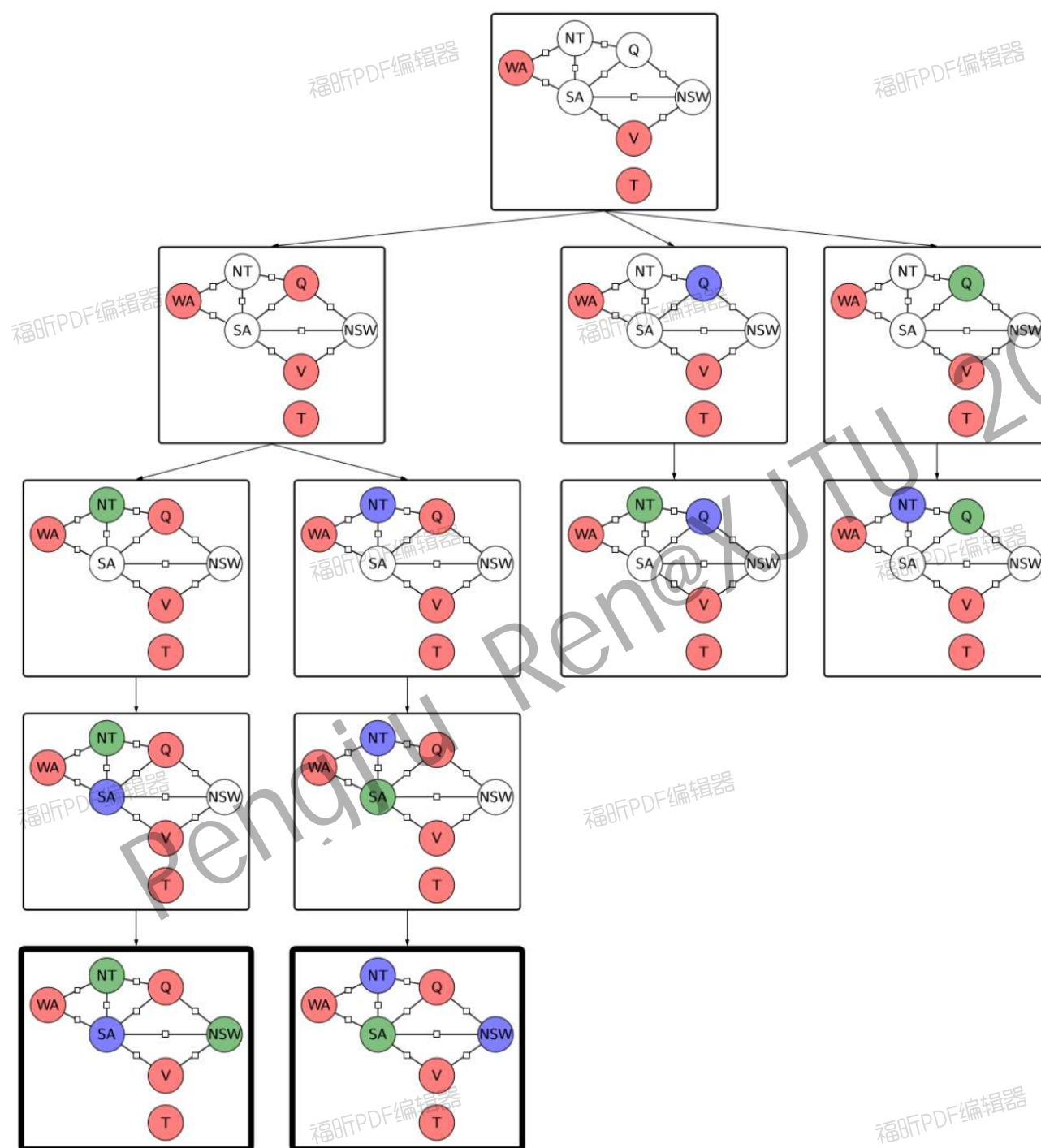
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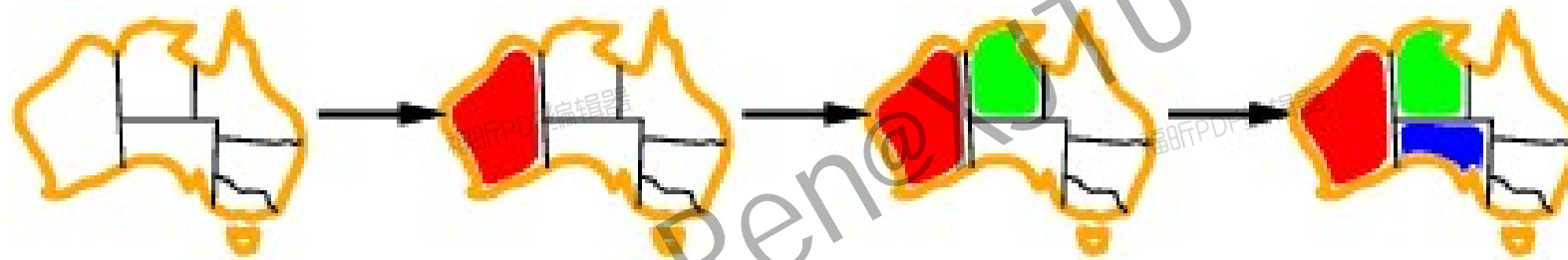
# Improving backtracking efficiency



- **General-purpose** methods can give huge gains in speed:
  - Which variable should be assigned next?
  - In what order should its values be tried?
  - Can we detect inevitable failure early?

# Most constrained variable

- **Most constrained variable:**  
choose the variable with the fewest legal values

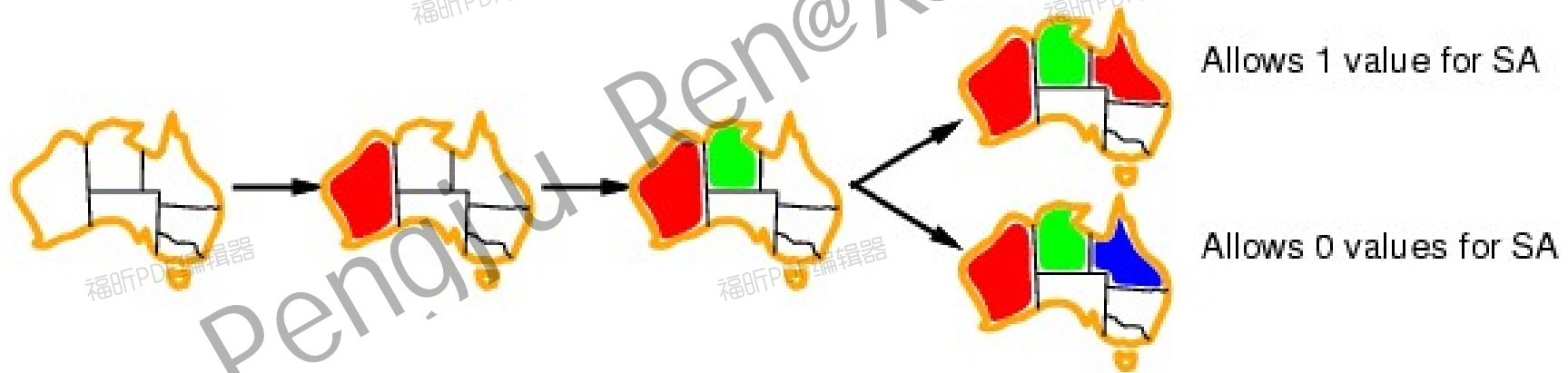


- a.k.a. **minimum remaining values (MRV)** heuristic

Which variable should be assigned next?

# Least constraining value

- Given a variable, choose the **least constraining value**:
  - the one that rules out the fewest values in the remaining variables

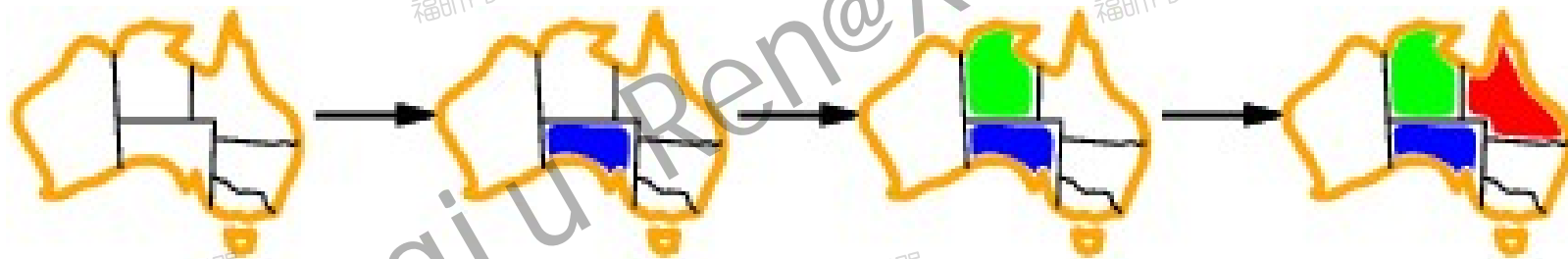


- Combining these heuristics makes 1000 queens feasible

Which variable should be assigned next?

# Most constraining variable

- Tie-breaker among most constrained variables
- Most constraining variable (**Degree heuristic**):
  - choose the variable with the most constraints on remaining variables



In what order should its values be tried?

# Forward checking

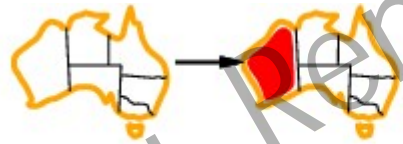
- **Idea:**

- Keep track of remaining legal values for unassigned variables
- Filtering: cross off bad options (violate constraints)
- Terminate search when any variable has no legal values



- **Idea:**

- **Keep track of remaining legal values for unassigned variables**
- **Filtering: cross off bad options (violate constraints)**
- **Terminate search when any variable has no legal values**



WA	NT	Q	NSW	V	SA	T
Red Green Blue	Red Green Blue	Red Green Blue	Red Green Blue	Red Green Blue	Red Green Blue	Red Green Blue
Red	Green Blue	Red Green Blue	Red Green Blue	Red Green Blue	Green Blue	Red Green Blue



# Forward checking

- **Idea:**

- Keep track of remaining legal values for unassigned variables
- Filtering: cross off bad options (violate constraints)
- Terminate search when any variable has no legal values



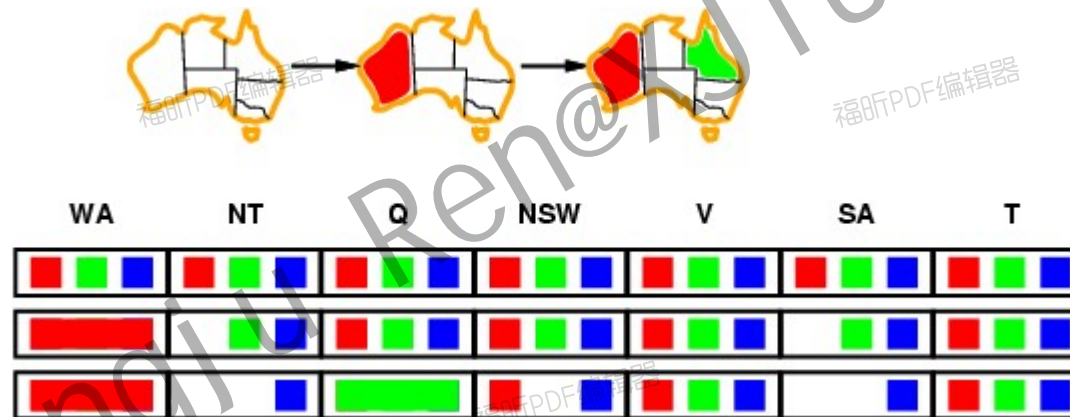
WA	NT	Q	NSW	V	SA	T
<div><div>Red</div><div>Green</div><div>Blue</div></div>	<div><div>Red</div><div>Green</div><div>Blue</div></div>	<div><div>Red</div><div>Green</div><div>Blue</div></div>	<div><div>Red</div><div>Green</div><div>Blue</div></div>	<div><div>Red</div><div>Green</div><div>Blue</div></div>	<div><div>Red</div><div>Green</div><div>Blue</div></div>	<div><div>Red</div><div>Green</div><div>Blue</div></div>
<div><div>Red</div><div>Red</div><div>Red</div></div>	<div><div></div><div>Green</div><div>Blue</div></div>	<div><div>Red</div><div>Green</div><div>Blue</div></div>	<div><div>Red</div><div>Green</div><div>Blue</div></div>	<div><div>Red</div><div>Green</div><div>Blue</div></div>	<div><div></div><div>Green</div><div>Blue</div></div>	<div><div>Red</div><div>Green</div><div>Blue</div></div>
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- 

WA	NT	Q	NSW	V	SA	T

# Constraint propagation

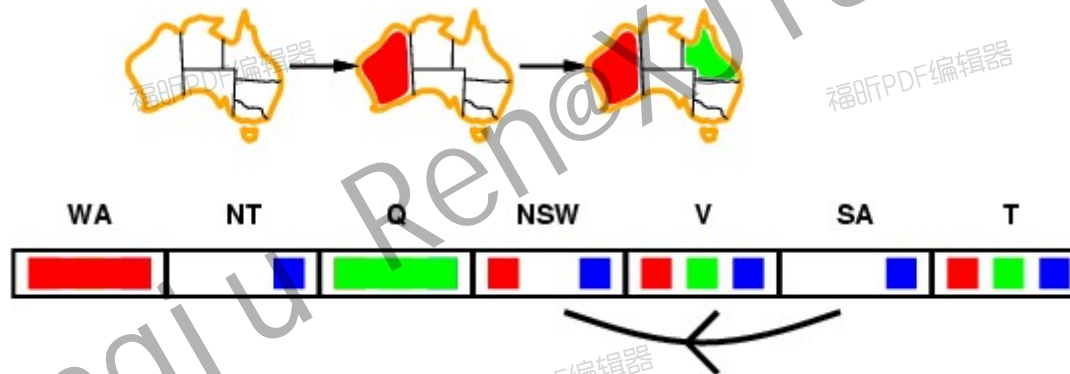
- Forward checking propagates information from assigned to unassigned variables, but doesn't provide early detection for all failures:



- NT and SA cannot both be blue!
- **Constraint propagation** repeatedly enforces constraints locally

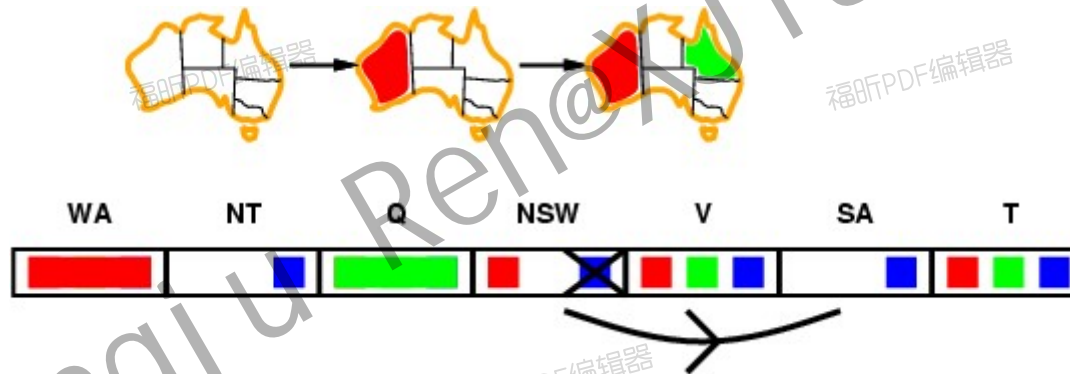
# Arc consistency

- Simplest form of propagation makes each arc **consistent**
- $X \rightarrow Y$  is consistent iff  
for **every** value  $x$  of  $X$  there is **some** allowed  $y$



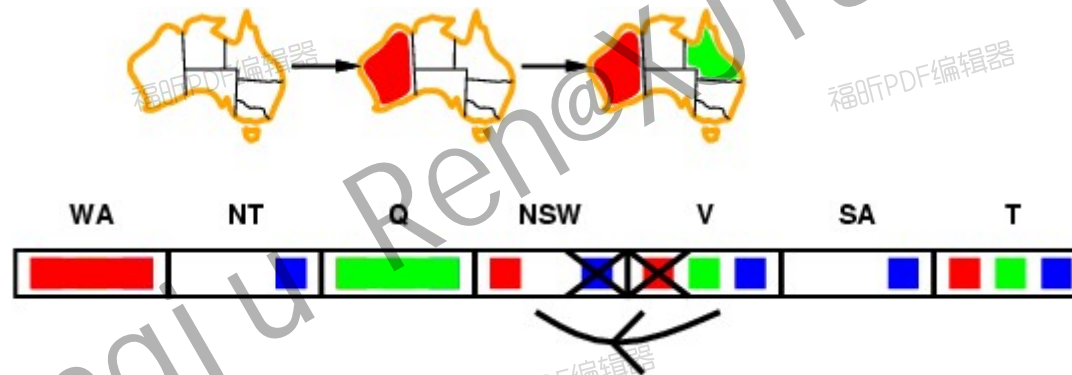
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# Arc consistency

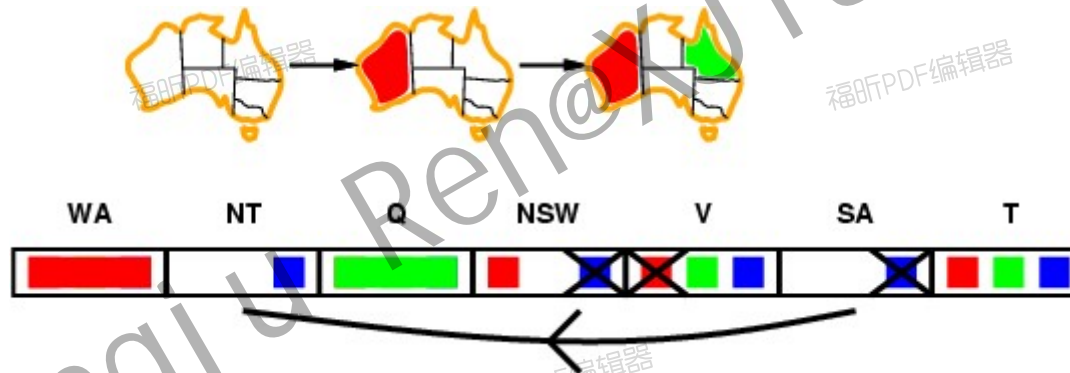
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- If  $X$  loses a value, neighbors of  $X$  need to be rechecked

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- If  $X$  loses a value, neighbors of  $X$  need to be rechecked
- Arc consistency detects failure earlier than forward checking
- Can be run as a preprocessor or after each assignment

# Arc consistency algorithm AC-3



**function** AC-3(*csp*) **returns** false if an inconsistency is found and true otherwise

**inputs:** *csp*, a binary CSP with components ( $X$ ,  $D$ ,  $C$ )

**local variables:** *queue*, a queue of arcs, initially all the arcs in *csp*

**while** *queue* is not empty **do**

    ( $X_i$ ,  $X_j$ )  $\leftarrow$  REMOVE-FIRST(*queue*)

**if** REVISE(*csp*,  $X_i$ ,  $X_j$ ) **then**

**if** size of  $D_i = 0$  **then return** false

**for each**  $X_k$  **in**  $X_i$ .NEIGHBORS -  $\{X_j\}$  **do**

            add ( $X_k$ ,  $X_i$ ) to *queue*

**return** true

---

**function** REVISE(*csp*,  $X_i$ ,  $X_j$ ) **returns** true iff we revise the domain of  $X_i$

*revised*  $\leftarrow$  false

**for each**  $x$  **in**  $D_i$  **do**

**if** no value  $y$  in  $D_j$  allows  $(x, y)$  to satisfy the constraint between  $X_i$  and  $X_j$  **then**

            delete  $x$  from  $D_i$

*revised*  $\leftarrow$  true

**return** *revised*

- **Time complexity:**  $O(n^2d^3)$ ,  $n$  is number of variables;  
     $d$  is number of domains;



# Example

	1	2	3	4	5	6	7	8	9
A			3		2		6		
B	9			3		5			1
C			1	8		6	4		
D			8	1		2	9		
E	7								8
F			6	7		8	2		
G			2	6		9	5		
H	8			2		3			9
I			5		1		3		

**Most constrained variable:  
choose the variable with the  
fewest legal values**

	1	2	3	4	5	6	7	8	9
A	4	8	3	9	2	1	6	5	7
B	9	6	7	3	4	5	8	2	1
C	2	5	1	8	7	6	4	9	3
D	5	4	8	1	3	2	9	7	6
E	7	2	9	5	6	4	1	3	8
F	1	3	6	7	9	8	2	4	5
G	3	7	2	6	8	9	5	1	4
H	8	1	4	2	5	3	7	6	9
I	6	9	5	4	1	7	3	8	2

**A4={1, 2, 3, 4, 5, 6, 7, 8, 9}**

**A6={1, 2, 3, 4, 5, 6, 7, 8, 9}**

**E3={1, 2, 3, 4, 5, 6, 7, 8, 9}**

**E6={1, 2, 3, 4, 5, 6, 7, 8, 9}**

**I6={1, 2, 3, 4, 5, 6, 7, 8, 9}**



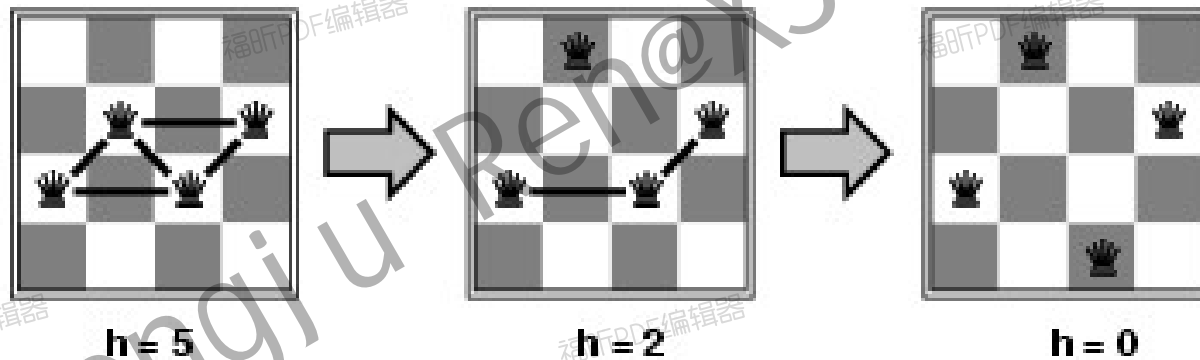
# Local search for CSPs



- Hill-climbing, simulated annealing typically work with "complete" states, i.e., all variables assigned
- To apply to CSPs:
  - allow states with unsatisfied constraints
  - operators **reassign** variable values
- Variable selection: randomly select any conflicted variable
- Value selection by **min-conflicts** heuristic:
  - choose value that violates the fewest constraints
  - i.e., hill-climb with  $h(n)$  = total number of violated constraints

# Example: 4-Queens

- **States:** 4 queens in 4 columns ( $4^4 = 256$  states)
- **Actions:** move queen in column
- **Goal test:** no attacks
- **Evaluation:**  $h(n)$  = number of attacks



- Given random initial state, can solve  $n$ -queens in almost constant time for arbitrary  $n$  with high probability (e.g.,  $n = 10,000,000$ )



# Structure and decomposition

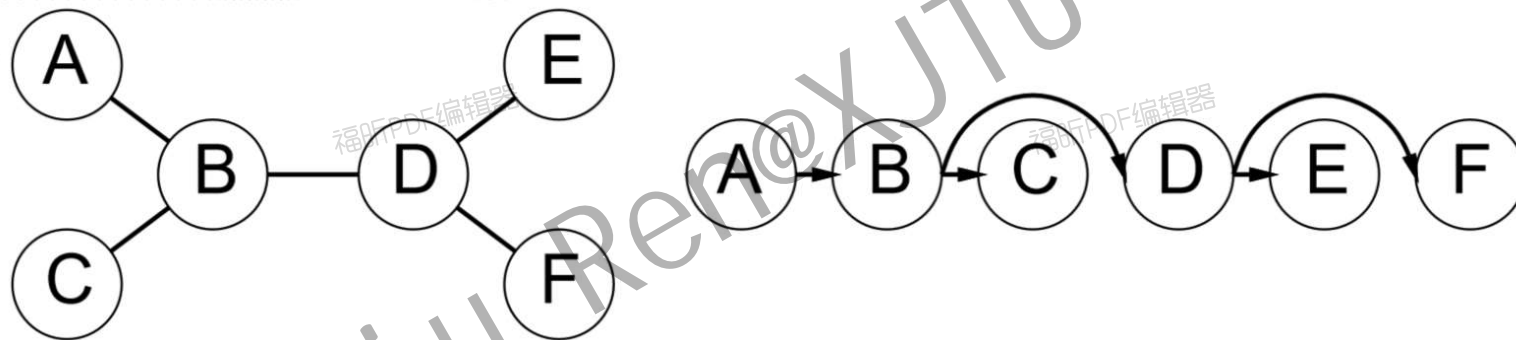


**The structure of problem, represented as constraint graph, can be used to find solution quickly, and the only way to deal with real world problem is to *decompose it in to many subproblems.***

# Tree-structured CSPs



**Theorem:** if the constraint graph has no loops, the CSP can be solved in time  $O(nd^2)$

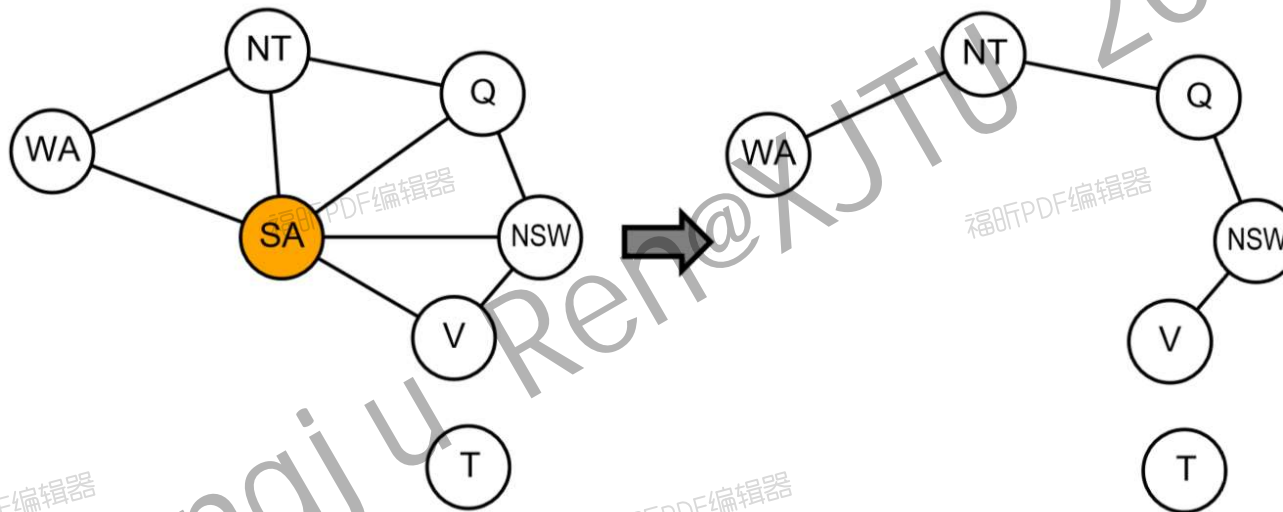


- Choose a variable as root, order variables from root to leaves such that every node's parent precedes it in the ordering
- For  $j$  from  $n$  down to 2, apply **ARC-CONSISTENT**( $\text{Parent}(X_j), X_j$ )
- For  $i$  from 1 to  $n$ , assign  $X_i$  consistently with  $\text{Parent}(X_i)$

# Nearly tree-structured CSPs



**Conditioning:** instantiate a variable, prune its neighbors' domains



**Cutset conditioning:** instantiate (in all ways) a set of variables such that the remaining constraint graph is a tree

Cutset size  $c \Rightarrow$  runtime  $O(d^c \cdot (n - c)d^2)$  very fast for small  $c$

# Summary



- **CSPs are a special kind of problem:**
  - states defined by values of a fixed set of variables
  - goal test defined by constraints on variable values
- **Backtracking = depth-first search with one variable assigned per node**
- **Variable ordering and value selection heuristics** help significantly
- **Forward checking** prevents assignments that guarantee later failure
- **Constraint propagation** (e.g., **arc consistency**) does additional work to constrain values and detect inconsistencies (**AC-3**)
- **Iterative min-conflicts** is usually effective in practice
- **Tree-structured CSPs can be solved in linear time**