

NEWS & VIEWS

Open Access

Prediction and observation of topological modes in fractal nonlinear optics

Boris A. Malomed ¹✉

Abstract

This item from the News and Views (N&V) category aims to provide a summary of theoretical and experimental results recently published in ref. ²⁴, which demonstrates the creation of corner modes in nonlinear optical waveguides of the higher-order topological insulator (HOTI) type. Actually, these are second-order HOTIs, in which the transverse dimension of the topologically protected edge modes is smaller than the bulk dimension (it is 2, in the case of optical waveguide) by 2, implying zero dimension of the protected modes, which are actually realized as corner or defect ones. Work²⁴ reports the prediction and creation of various forms of the corner modes in a HOTI with a fractal transverse structure, represented by the *Sierpiński gasket* (SG). The self-focusing nonlinearity of the waveguide's material transforms the corner modes into corner solitons, almost all of which are stable. The solitons may be attached to external or internal corners created by the underlying SG. This N&V item offers an overview of these new findings reported in ref. ²⁴ and other recent works, and a brief discussion of directions for further work on this topic.

It is well established that the propagation through specially designed waveguides may impart various topological structures to light waves. The variety of topological states carried by light is greatly enhanced by the intrinsic nonlinearity of the optical medium, which, in most cases, amounts to the Kerr (alias $\chi^{(3)}$) self-focusing, represented by cubic terms in the corresponding propagation equations. The simplest example is provided by the two-dimensional (2D) photonic crystal with the transverse square-lattice structure: this waveguide, built in a self-focusing material, readily supports the stable propagation of self-trapped optical modes with embedded vorticity, i.e., *vortex solitons*^{1,2}. The integer value of the vorticity plays the role of the respective topological charge. These vortex solitons are arranged as multipeak patterns, the vorticity being defined as the respective *winding number*, i.e., the total phase gain along a trajectory surrounding the vortex' pivot, divided by 2π . It is relevant to mention that similar stable vortex solitons may be maintained not only by spatially periodic underlying lattices but also by quasi-periodic ones (i.e., *photonic quasicrystals*)³.

A related vast topic in studies on linear and nonlinear optics is *emulation*, by means of light fields, of various phenomena that are known in a much more complex form in solid-state physics, a popular example being the creation of photonic counterparts of graphene^{4–7}. In particular, much interest was drawn to the studies of edge modes^{4,5} and spin-orbit-coupling⁷ in the photonic graphene. These setups are also based on light propagation in lattice structures.

A class of solid-state settings that has drawn a great deal of interest in the course of the past 20 years comprises topological insulators (TIs, alias quantum spin Hall insulators)^{8,9}, see also reviews^{10,11}. TI is a crystalline material bounded by surfaces, which is an insulator in bulk, with a finite gap in its excitation spectrum, while the surface (edge) states feature a topologically protected gapless (conducting) spectrum. The topological protection implies that the surface conductivity persists in the presence of defects and irregularities. Following the discovery of TIs in solid-state physics, their photonic counterparts have been created, also demonstrating the topological protection of the surface states^{12,13} (it is relevant to compare it to the recently demonstrated topological protection of optical skyrmions¹⁴).

Correspondence: Boris A. Malomed (malomed@tauex.tau.ac.il)

¹Department of Physical Electronics, School of Electrical Engineering, Faculty of Engineering, and Center for Light-Matter Interaction, Tel Aviv University, Tel Aviv, Israel

© The Author(s) 2025



Open Access This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons licence, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons licence, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons licence and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this licence, visit <http://creativecommons.org/licenses/by/4.0/>.

Further work on the topic of TIs has led to the discovery of higher-order TIs (HOTIs). Their definition states that the TI of order m realized in the bulk medium of dimension D supports the topologically protected conductivity on a surface of dimension $D - m$ ^{15–17}. In terms of the realization in photonics, which has $D = 2$, this implies that, in addition to the usual (first-order) TI, with $m = 1$, one can consider the second-order HOTI, with $m = 2$. The respective zero value of the surface’s dimension implies that the topologically protected conducting states may exist as *corner modes*, attached to junctions of orthogonal surfaces, or ones attached to local defects^{18–20}. Furthermore, it has been demonstrated, theoretically and experimentally, that the self-focusing nonlinearity transforms such corner modes into similarly structured spatial solitons^{21,22}. In this connection, it is relevant to mention that 2D solitons in the uniform medium with the cubic self-focusing are unstable, due to the occurrence of the *critical collapse* in the same setting²³. However, lattice potentials, periodic^{1,2} and aperiodic³ ones alike, can readily stabilize both fundamental and vortex solitons in this case.

The new work²⁴, published in the journal *Light: Science & Applications*, reports the prediction and experimental realization of a great expansion of the variety of HOTI states in an aperiodic nonlinear photonic crystal, in which the underlying lattice structure is *fractal*, realized in the form of a *Sierpiński gasket* (SG). In its ideal form, SG is a carpet of infinitely downscaling equilateral triangles (the texture of this pattern can be seen below in Figs. 1 and 2; note that its aperiodicity makes it somewhat cognate to the above-mentioned photonic quasicrystals—in particular, because practically available SG patterns do not feature infinite downscaling of the triangular structure). The SG-shaped waveguide used in the experimental part of ref. 24 was fabricated by means of the technique that inscribes a desirable structure in fused silica by femtosecond pulses of UV light. An essential peculiarity of the SG structure, considered in a finite domain, is the fact that it features both external corners and internal ones, formed by triangular holes in the SG (see Figs. 1 and 2). Thus, aiming to construct SG-maintained HOTIs, one may expect to find such localized modes attached to both

external and internal corners of the underlying fractal lattice of a finite size. Of course, both numerical and experimental results reported in ref. 24 were actually obtained, as mentioned above, not for the truly fractal setting, but for some approximation to it.

It is relevant to mention that a large variety of chiral corner modes in a linear TI waveguide based on the SG lattice of the *Floquet type*, i.e., one which is periodically modulated along the propagation distance, were recently created in the experiment²⁵. However, the effects of the nonlinearity were not addressed in that work.

The analysis developed in the theoretical part of work²⁴ is based on the nonlinear Schrödinger equation for the amplitude ψ of the optical wave, written in the scaled form:

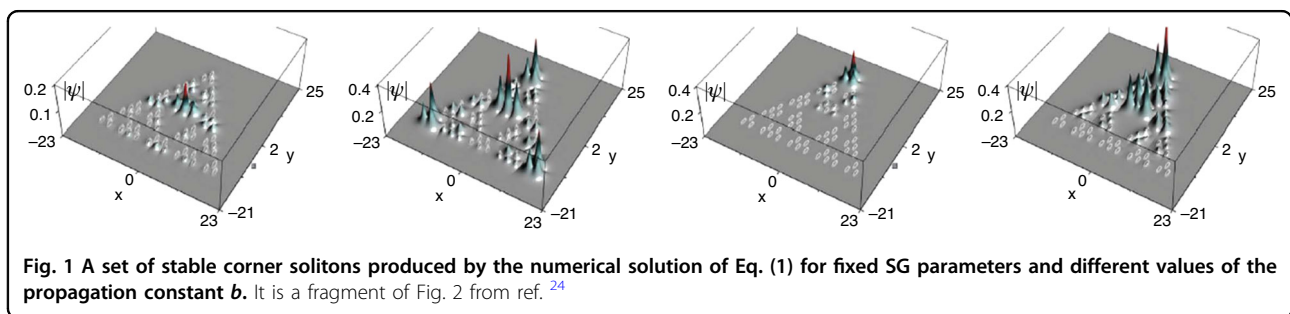
$$i \frac{\partial \psi}{\partial z} = -\frac{1}{2} \left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right) \psi - R(x, y) \psi - |\psi|^2 \psi \quad (1)$$

where z and (x, y) are, respectively, the propagation distance and transverse coordinates, the real function $R(x, y)$ represents the effective potential induced by the underlying SG fractal structure, the Laplacian operator represents the paraxial diffraction, and the sign minus in front of the cubic term corresponds to the self-focusing sign of the nonlinearity.

The SG-supported corner modes are produced by a numerical solution of the linearized version of Eq. (1), by means of substitution

$$\psi(x, y; z) = \exp(ibz)u(x, y) \quad (2)$$

where b is a real propagation constant, and the stationary field $u(x, y)$ is real too. As mentioned above, such localized modes are attached to the external or internal corners of the SG lattice (actually, all corners may support localized modes attached to them). Hybrid modes, which feature several local peaks that are pinned to both external and internal corners, have been found too. If the linearized Eq. (1) is considered as the 2D quantum-mechanical Schrödinger equation, the corner modes are construed as bound states supported by the SG potential $R(x, y)$. In terms of the spectrum of eigenstates of the linearized



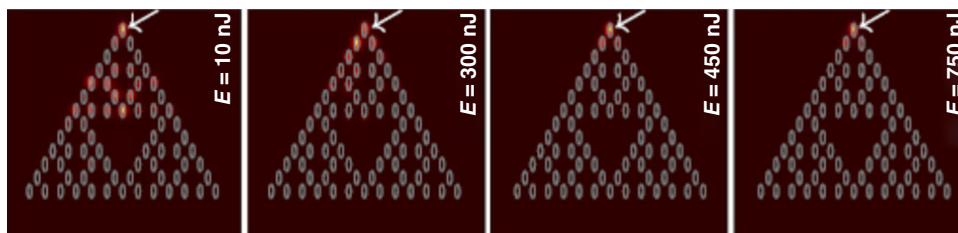


Fig. 2 A set of images of the quasi-soliton corner modes (occupying reddish sites of the underlying SG), as reported in ref. ²⁴ (see Fig. 4 in that work) for increasing values of the total power (it is proportional to energy E of the input light pulse, as indicated in panels of the figure). The white arrows indicate the site at which the input pulse was shone into the sample

equation, the localized corner modes belong to *bandgaps*, i.e., intervals of values of the propagation constant at which extended (delocalized) linear modes do not exist.

The numerical solution of full Eq. (1), which includes the cubic term, demonstrates the transformation of the various linear corner modes into stable *corner solitons*, which inherit the symmetry and general shape of the parent corner modes (in this connection, the solitons are usually referred to as ones *bifurcating from* the underlying localized linear modes). Soliton families are characterized by the dependence of their integral power, $P \equiv \iint u^2(x, y) dx dy$, on the propagation constant b (see Eq. (2)). These dependences are *thresholdless*, i.e., they start from $P = 0$, at the bifurcation point (a finite threshold would mean that a soliton family starts from finite $P > 0$). Further, as well as the linear modes from which they bifurcate, the soliton families may exist solely at values of b which belong to one of the bandgaps of the underlying spectrum of the linearized Eq. (1). A characteristic set of numerically found corner-soliton solutions, borrowed from ref. ²⁴, is displayed in Fig. 1.

The change of the corner-solitons' shapes with the increase of P makes it possible to use the power as a tool that helps to “morph” the solitons. Eventually, pumping more power into them, one pushes the corner solitons from original bandgaps, in which they bifurcated from the linear corner modes, into adjacent *Bloch bands*, where the former solitons develop nonvanishing tails, thus transforming themselves into *quasisolitons*.

The analysis of the stability of the corner solitons against small perturbations, as well as direct simulations of their perturbed evolution, demonstrates that almost all of them are stable ²⁴.

In work ²⁴ the experimental creation of the corner solitons was performed in an experimental sample with a propagation distance of 10 cm, which is sufficient for the demonstration of the self-trapping of various species of the corner solitons. A set of observed quasi-soliton profiles is displayed, for increasing values of the energy (E) of input pulses, i.e., for growing levels of power P , in Fig. 2. It

is clearly seen that the multippeak corner solitons, found at low levels of P , demonstrate strong self-compression and reduction of the number of peaks with the increase of P . The above-mentioned transformation of the solitons into quasi-solitons with nonvanishing tails was not observed experimentally, as the power levels needed for the transformation might damage the material.

Conclusion

The results reported in detail in the work of Zhong, et al. ²⁴ and outlined above are of considerable interest for fundamental studies in the field of nonlinear photonics, and may find applications—e.g., for the design of topological lasers and generation of spatial solitons with required shapes.

The analysis reported in ref. ²⁴ may be extended in other directions. First, it is possible to seek bound states of broad corner solitons centered at adjacent external and/or internal corners (such composite states were not reported in ref. ²⁴). Next, it would be relevant to construct corner solitons with embedded vorticity (for the theoretical analysis of this option, one should use substitution (2) with a complex stationary function $u(x, y)$, whose phase circulation may carry the vorticity ^{1–3}, as mentioned above). Further, one can consider the TI waveguide with the *self-defocusing* nonlinearity, i.e., the opposite sign in front of the cubic term in Eq. (1). In that case, the formation of fundamental and vortex *gap-soliton* modes may be expected ^{3,26,27}. In particular, unlike the corner solitons which are rigidly pinned to the underlying lattice in the self-focusing medium, 2D gap solitons may demonstrate mobility in the defocusing medium ²⁷. Finally, it may also be interesting to create corner solitons in photonic TIs with the quadratic (*second-harmonic-generating*, alias $\chi^{(2)}$ ²⁸), rather than cubic, material nonlinearity.

Conflict of interest

The author declares no competing interests.

Published online: 03 January 2025

References

1. Baizakov, B. B., Malomed, B. A. & Salerno, M. Multidimensional solitons in periodic potentials. *Europhys. Lett.* **63**, 642–648 (2003).
2. Yang, J. K. & Musslimani, Z. H. Fundamental and vortex solitons in a two-dimensional optical lattice. *Opt. Lett.* **28**, 2094–2096 (2003).
3. Sakaguchi, H. & Malomed, B. A. Gap solitons in quasiperiodic optical lattices. *Phys. Rev. E* **74**, 026601 (2006).
4. Zandbergen, S. R. & De Dood, M. J. A. Experimental observation of strong edge effects on the pseudodiffusive transport of light in photonic graphene. *Phys. Rev. Lett.* **104**, 043903 (2010).
5. Rechtsman, M. C. et al. Topological creation and destruction of edge states in photonic graphene. *Phys. Rev. Lett.* **111**, 103901 (2013).
6. Song, D. H. et al. Unveiling pseudospin and angular momentum in photonic graphene. *Nat. Commun.* **6**, 6272 (2015).
7. Nalitov, A. V. et al. Spin-orbit coupling and the optical spin hall effect in photonic graphene. *Phys. Rev. Lett.* **114**, 026803 (2015).
8. Kane, C. L. & Mele, E. J. Quantum spin hall effect in graphene. *Phys. Rev. Lett.* **95**, 226801 (2005).
9. König, M. et al. Quantum spin hall insulator state in HgTe quantum wells. *Science* **318**, 766–770 (2007).
10. Hasan, M. Z. & Kane, C. L. Colloquium: topological insulators. *Rev. Mod. Phys.* **82**, 3045–3067 (2010).
11. Qi, X. L. & Zhang, S. C. Topological insulators and superconductors. *Rev. Mod. Phys.* **83**, 1057–1110 (2011).
12. Rechtsman, M. C. et al. Photonic floquet topological insulators. *Nature* **496**, 196–200 (2013).
13. Khanikaev, A. B. et al. Photonic topological insulators. *Nat. Mater.* **12**, 233–239 (2013).
14. Wang, A. A. et al. Topological protection of optical skyrmions through complex media. *Light Sci. Appl.* **13**, 314 (2024).
15. Peng, Y., Bao, Y. M. & Von Oppen, F. Boundary green functions of topological insulators and superconductors. *Phys. Rev. B* **95**, 235143 (2017).
16. Ezawa, M. Higher-order topological insulators and semimetals on the breathing Kagome and pyrochlore lattices. *Phys. Rev. Lett.* **120**, 026801 (2018).
17. Xie, B. Y. et al. Higher-order band topology. *Nat. Rev. Phys.* **3**, 520–532 (2021).
18. Noh, J. et al. Topological protection of photonic mid-gap defect modes. *Nat. Photonics* **12**, 408–415 (2018).
19. Mittal, S. et al. Photonic quadrupole topological phases. *Nat. Photonics* **13**, 692–696 (2019).
20. El Hassan, A. et al. Corner states of light in photonic waveguides. *Nat. Photonics* **13**, 697–700 (2019).
21. Kirsch, M. S. et al. Nonlinear second-order photonic topological insulators. *Nat. Phys.* **17**, 995–1000 (2021).
22. Hu, Z. C. et al. Nonlinear control of photonic higher-order topological bound states in the continuum. *Light Sci. Appl.* **10**, 164 (2021).
23. Bergé, L. Wave collapse in physics: principles and applications to light and plasma waves. *Phys. Rep.* **303**, 259–370 (1998).
24. Zhong, H. et al. Observation of nonlinear fractal higher order topological insulator. *Light Sci. Appl.* **13**, 264 (2024).
25. Li, M. et al. Fractal photonic anomalous Floquet topological insulators to generate multiple quantum chiral edge states. *Light Sci. Appl.* **12**, 262 (2023).
26. Brazhnyi, V. A. & Konotop, V. V. Theory of nonlinear matter waves in optical lattices. *Mod. Phys. Lett. B* **18**, 627–651 (2004).
27. Sakaguchi, H. & Malomed, B. A. Two-dimensional loosely and tightly bound solitons in optical lattices and inverted traps. *J. Phys. B At. Mol. Optical Phys.* **37**, 2225–2239 (2004).
28. Buryak, A. V. et al. Optical solitons due to quadratic nonlinearities: from basic physics to futuristic applications. *Phys. Rep.* **370**, 63–235 (2002).